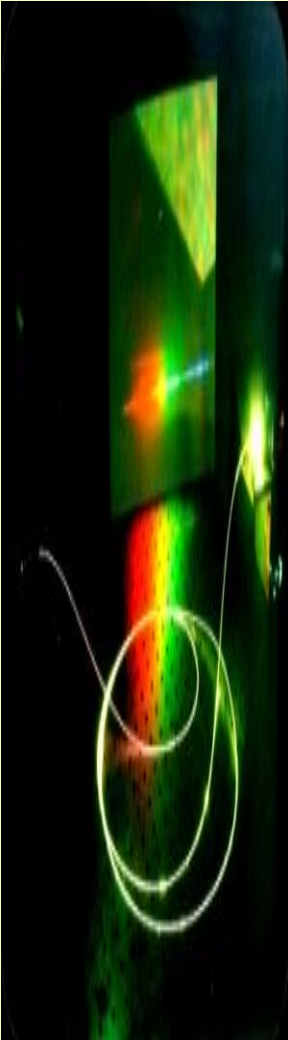


# Optical Fibre Communication Systems

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## Lecture 2: Nature of Light and Light Propagation

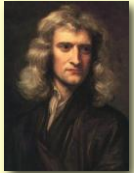
**Professor Z Ghassemlooy**  
*Northumbria University*

# Contents

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- Wave Nature of Light
- Particle Nature of Light
- Electromagnetic Wave
- Reflection, Refraction, and Total Internal Reflection
- Ray Properties in Fibre
- Types of Fibre
- Fibre Characteristics
  - Attenuation
  - Dispersion
  - Bandwidth Distance Product
- Summary

# Wave Nature of Light

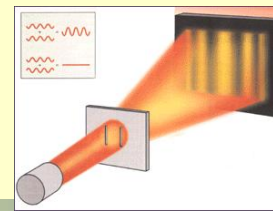
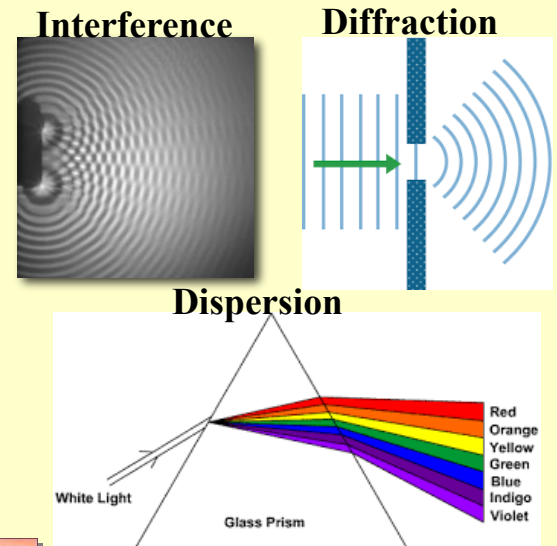


- **Newton (1680)** believed in the **particle theory** of light. In **reflection** and **refraction**, light behaved as a particle. He explained the straight-line casting of sharp shadows of objects placed in a light beam. But he could not explain the textures of shadows



- **Young (1800)** – Showed that light interfered with itself. **Wave theory**: Explains interference, diffraction, and dispersion.
- **The wave theory** is also able to account for the fact that the edges of a shadow are not quite sharp.

**This theory describes:** *Propagation, reflection, refraction and attenuation*

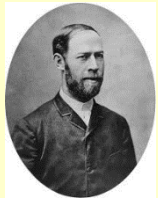


G Ekspong, Stockholm University, Sweden, 1999.

# Wave Nature of Light - *contd.*



**James Clerk Maxwell (1850)** - Mathematical theory of electromagnetism led to the view that **light is of electromagnetic nature, propagating as a wave** from the source to the receiver.



**Heinrich Hertz (1887)** - Discovered **experimentally the existence of electromagnetic waves at radio-frequencies.**

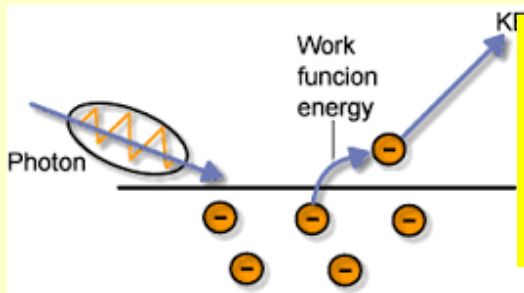
Wave theory does not describe the absorption of light by a photosensitive materials

He discovered the photoelectric effect. So what is it?

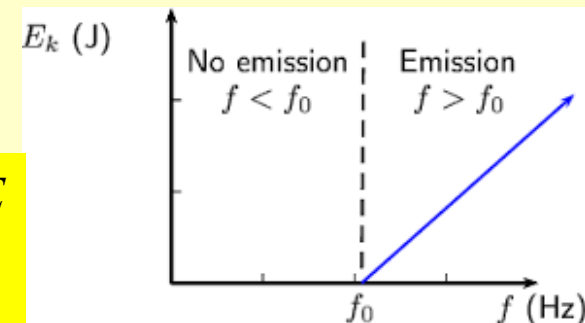
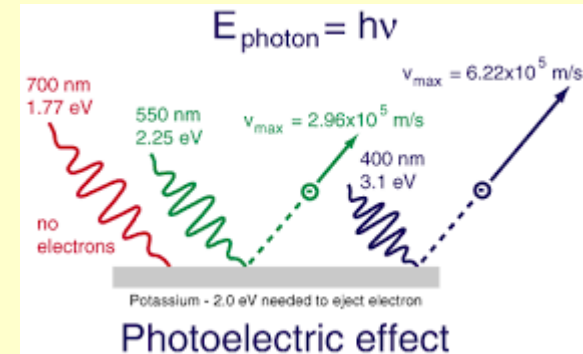
# Wave Nature of Light - *contd.*

Light (electromagnetic wave) shining on a metal surface results in its **energy** being transferred to the **electrons** on the surface of the metal and some **electron will escape**. The released electron are called “**photo-electron**”.

$E_{\text{photo-electron}}$  is related to  $f_{\text{incident}}$  light but **not its intensity**. So light is energetic enough to shift electron(s) or not.

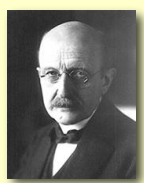


**The work function** – The amount of  $E$  needed by the EM wave to free an electron from a meta surface (i.e., a  $E_{\text{threshold}}$ ).



**1900-20 Max Planck, Neils Bohr and Albert Einstein**  
Invoked the idea of light being emitted in tiny pulses of energy.

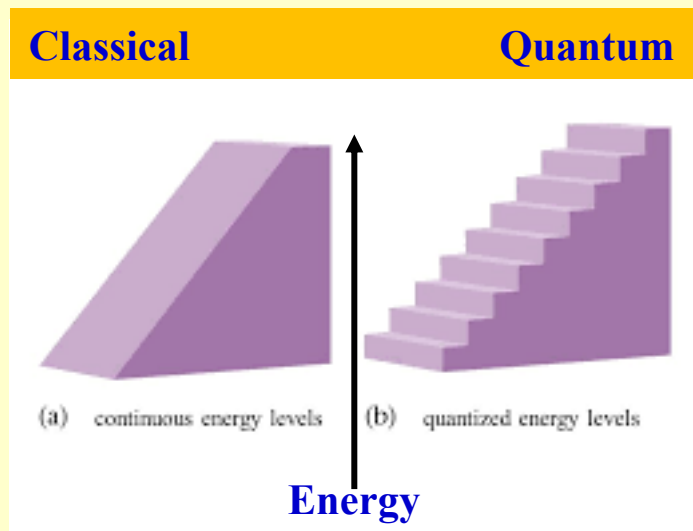
# Wave Nature of Light - *contd.*



**Planck (1900)** - Developed a model that explained light as a **quantization of energy [Got a Nobel Prize]**.

Considered the Black Body cavity:

- $E = nhf$   $n = 0, 1, 2, 3..$ ,  $h = \text{Planck's constant } 6.626 \times 10^{-34} \text{ JS [or } 4.136 \times 10^{-15} \text{ eVs]}$ ,  $f = \text{frequency of one of the standing wave within a black body.}$
- *The energy spectrum of the standing wave within the black body cavity is not continuous as in the classical theory, but take specific values:*



**E.g., - A standing wave within a black body cavity has a frequency  $f = 7.25 \times 10^{14} \text{ Hz}$  (Blue-violet).**

The energy is:  $E = n(4.136 \times 10^{-15} \text{ eVs})(7.25 \times 10^{14} \text{ Hz}) = n(3 \text{ eV})$ ,

**So for  $n = 1, 2, 3, 4, ..$  we have  $E = 3, 6, 9, 12, ....$  But not for 1, 2, 4, 5, 7, 8 and so on.**

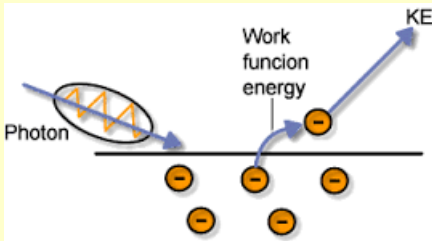
**Interesting!**

***This contradicted the continuous wave theory of light, where wave DO NOT have distinct constituent!***

# Wave Nature of Light - *contd.*



**Einstein (1905)** – Used Plank's idea to showed that, in the photoelectric effect (light causing electrons to be emitted from a metal surface) **light must act as a particle.**



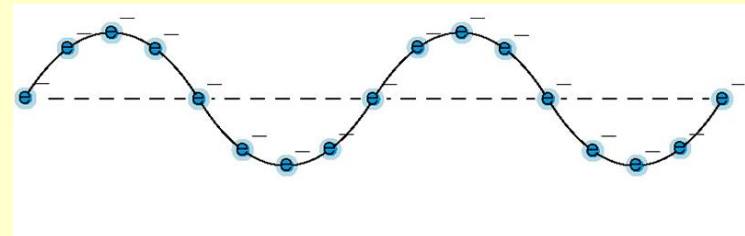
He came up with this:

$$K_{\max} = hf - \phi$$

Max. kinetic Energy of an electron      Work function

Therefore, light must be regarded as having a **dual nature**;

- in some cases light acts as a wave
- in others it acts like a particle.



# Particle Nature of Light

Light behaviour can be explained in terms of the amount of energy imparted in an interaction with some other medium. In this case, a beam of light is composed of a stream of small lumps or QUANTA of energy, known as **PHOTONS**. Each photon carries with it a precisely defined amount of energy defined as:

$$W_p = h * f \quad \text{Joules (J)}$$

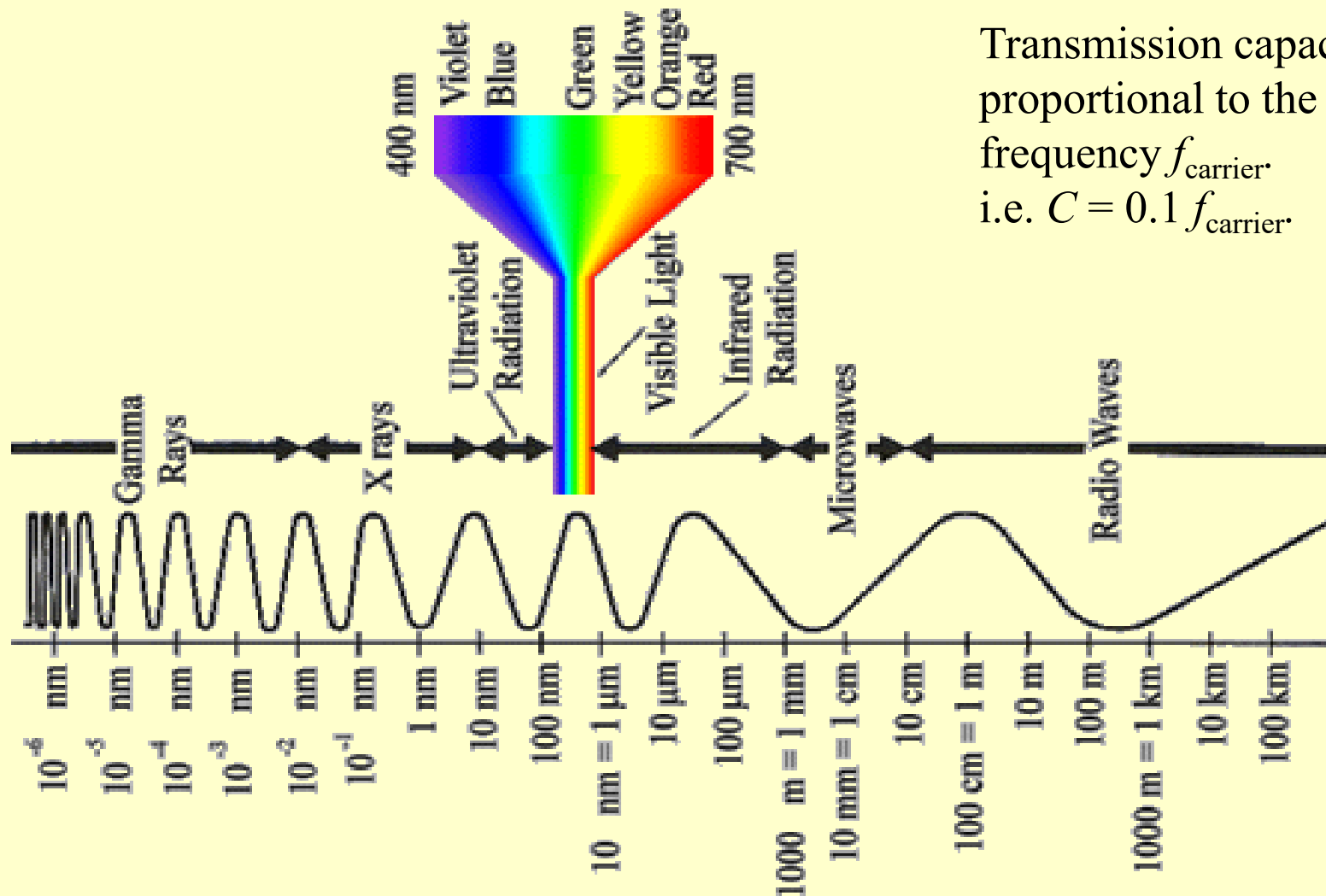
where;  $h$  = Plank's constant =  $6.626 \times 10^{-34}$  J.s,  $f$  = Frequency Hz

The convenient unit of energy is electron volt (eV), which is the kinetic energy acquired by an electron when accelerated to  $1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$ .

- Even though a photon can be thought of as a **particle of energy** it still has a fundamental wavelength, which is equivalent to that of the propagating wave as described by the wave model.
- **This model of light is useful when the light source contains only a few photons.**



# Electromagnetic Spectrum



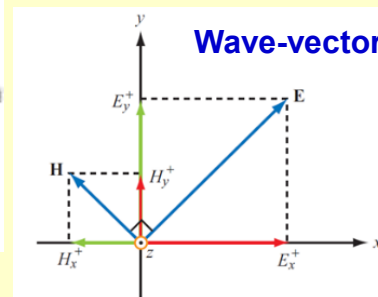
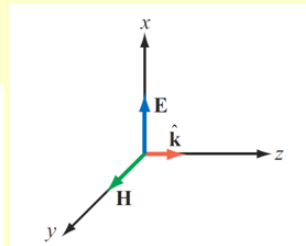
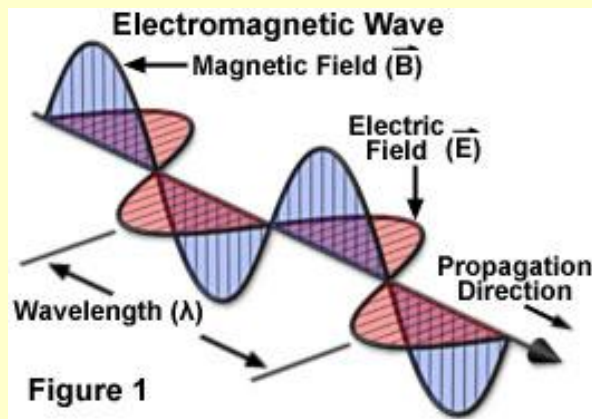
Transmission capacity  $C$  is proportional to the carrier frequency  $f_{\text{carrier}}$ .  
i.e.  $C = 0.1 f_{\text{carrier}}$

# Electromagnetic Radiation

- Carries energy through space (includes visible light, dental x-rays, radio waves, heat radiation from a fireplace)
- The wave is composed of a combination of mutually perpendicular electric and magnetic fields the direction of propagation of the wave is at right angles to both field directions, **this is known as an:**

## ELECTROMAGNETIC (EM) WAVE

EM wave move through a vacuum at  $3.0 \times 10^8$  m/s ("speed of light")



$$E = E_0(z, \phi)e^{j(\omega t - \beta z)}$$

$$H = H_0(z, \phi)e^{j(\omega t - \beta z)}$$

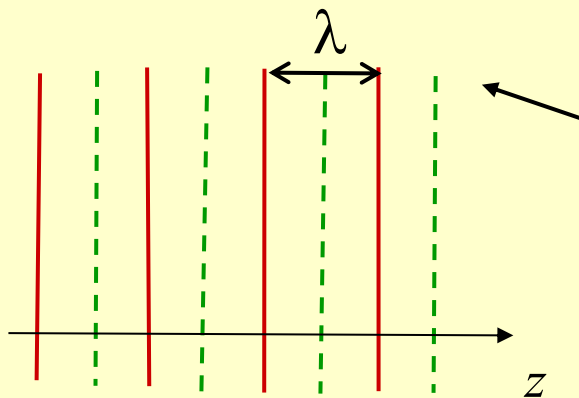
$$E = v_p H = [c/n]H$$

Speed of light in a vacuum  $c = f \times \lambda_0$

**$\beta$  - Propagation constant =  $\omega/v_p$**

# The Wave Equation

Solutions to Maxwell's equations:



**Plane wave:** At a large distance from a source.

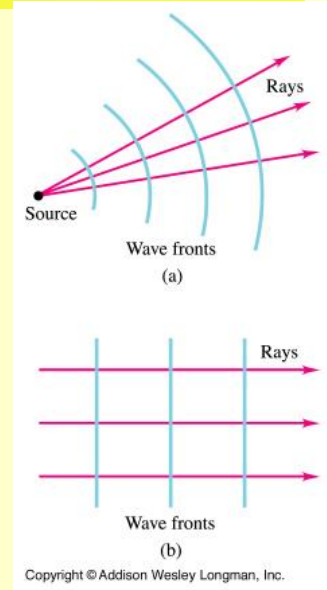
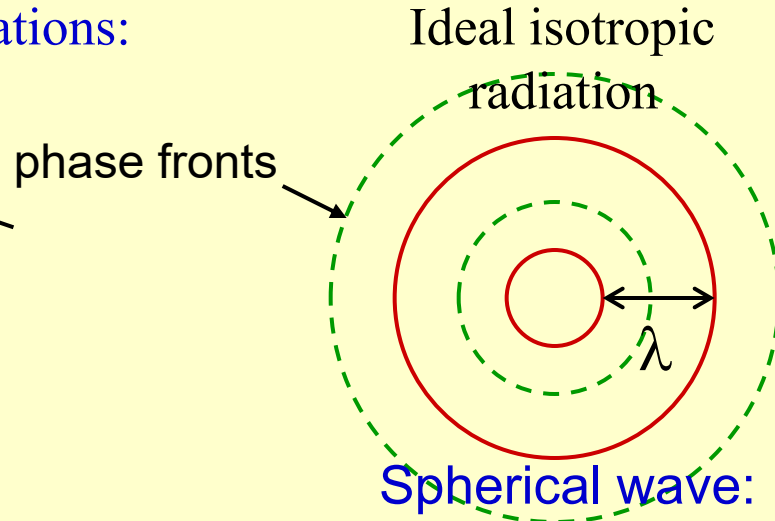
Constant phase → Wave-front is a plane

$$y(z, t) = A \sin(kz - \omega t - \phi)$$

**In complex form**

$$y(z, t) = A e^{j(kz - \omega t - \phi)}$$

$A e^{-j\phi}$  Complex amplitude or “phasor”



Wave number in vacuum

$$k = \frac{2\pi}{\lambda}$$

$$\begin{aligned} k &= n \cdot k_0 \\ \lambda &= \lambda_0 / n \end{aligned}$$

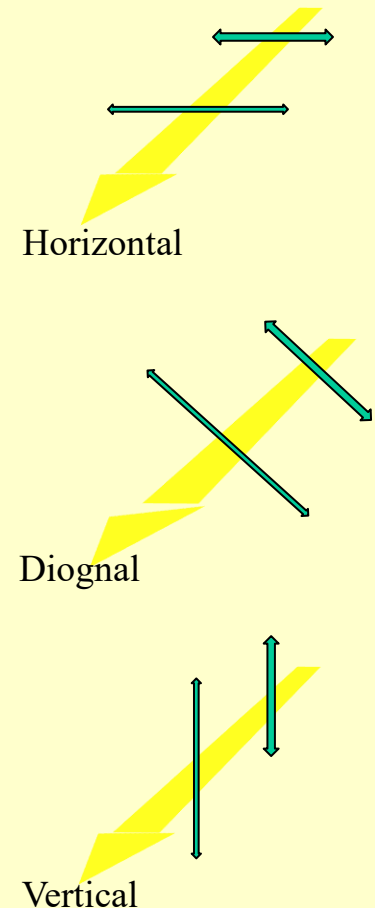
Note:  $k = \beta$

$$k = \omega \sqrt{\epsilon \mu_0} = \sqrt{\epsilon_r} \cdot \omega \sqrt{\epsilon_0 \mu_0} = \sqrt{\epsilon_r} \cdot k_0$$

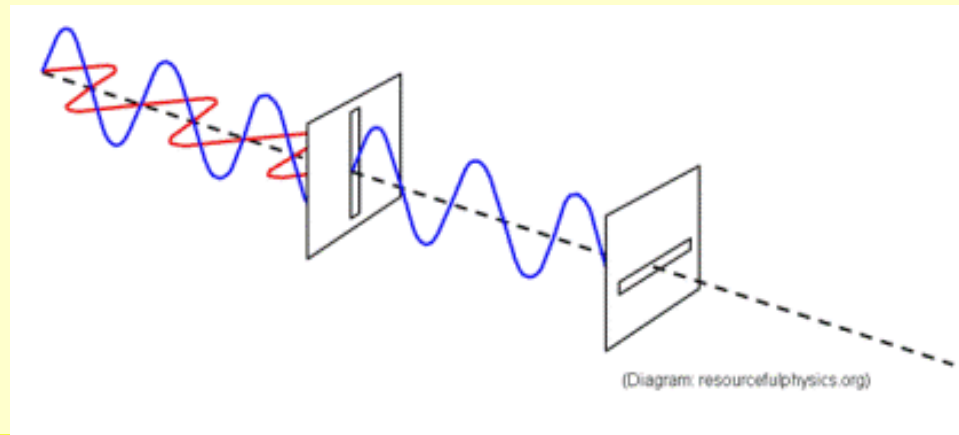
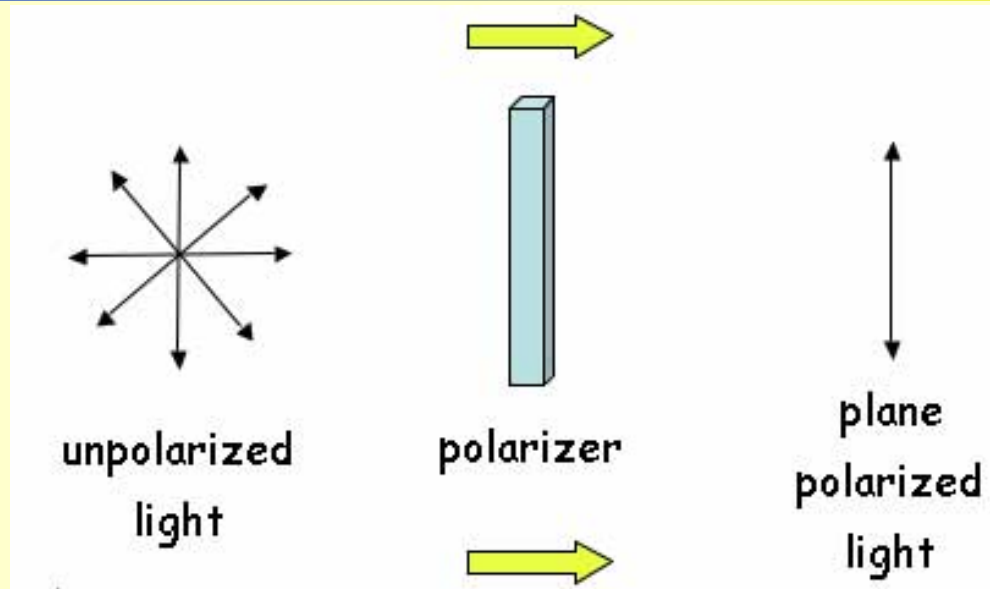
$$n = \sqrt{\epsilon_r}$$

# Polarization

- Demonstrates the transverse nature of EM wave.
- Un-polarized light source: The electric field is vibrating in many directions; all perpendicular to the direction of propagation.
- Polarized light source: The vibration of the electric field is mostly in one direction. Any direction is possible as long as it's perpendicular to the propagation.
- Types of polarization - Depending on existence and changes of different electric fields
  - Linear
    - Horizontal ( $E$  field changing in parallel with respect to earth's surface)
    - Vertical ( $E$  field going up/down with respect to earth's surface)
    - Dual polarized
  - Circular ( $E_x$  and  $E_y$ )
    - Similar to satellite communications
    - Tx and Rx must agree on direction of rotation
  - Elliptical
  - Linear polarization is used in WiFi communications



# Polarization



# One Dimensional EM Wave

**Incident wave**

$$E = E_0(z, \phi)e^{j(\omega t - \beta z)}$$

**Reflected wave**

$$E_r = E_{0r}(z, \phi)e^{j(\omega_r t - \beta_r z)}$$

**Transmitted wave**

$$E_t = E_{0t}(z, \phi)e^{j(\omega_t t - \beta_t z)}$$

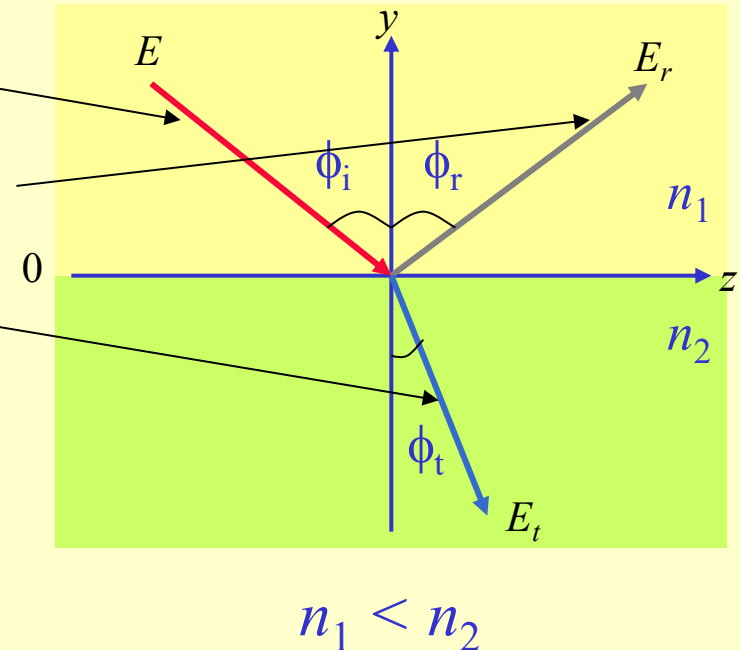
Note, phases of the three waves, which are independent of  $z$ ,  $t$ , must be equal, i.e.,:

$$(\omega t - \beta z) = (\omega_r t - \beta_r z) = (\omega_t t - \beta_t z)$$

At the boundary point i.e.,  $z = 0$ , we have:  $\omega t = \omega_r t = \omega_t t$

Or

$$\omega = \omega_r = \omega_t$$



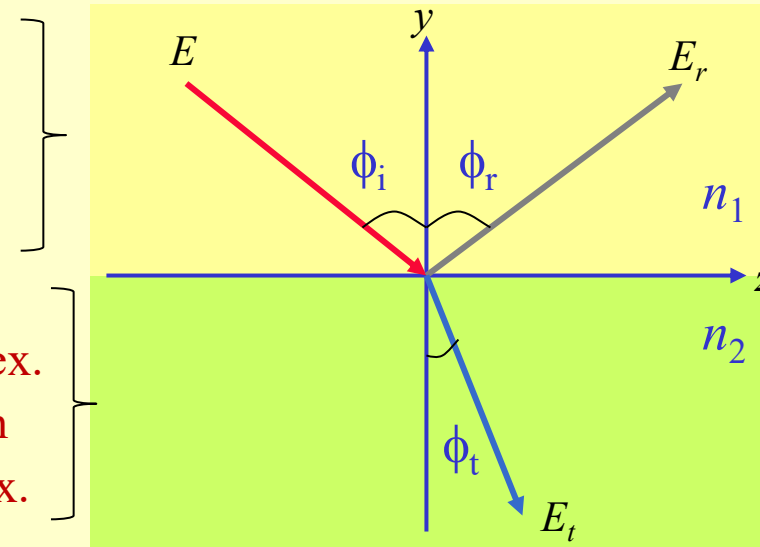
# Properties of Light

## Law of Reflection

- External reflection:  $n_1 < n_2$
- External reflection:  $n_1 > n_2$

## Law of Refraction -

- Light beam is bent towards the normal when passing into a medium of higher refractive index.
- Light beam is bent away from the normal when passing into a medium of lower refractive index.



## Index of Refraction

- $n = \text{Speed of light in a vacuum} / \text{Speed of light in a medium}$

## Inverse square law

- Light intensity diminishes with the square of distance ( $d^2$ ) from the source.

# Law of Reflection

Considering at time  $t = 0$  within the boundary plane, we have

$$-\beta z = -\beta_r z = -\beta_t z$$

With the reflected and refracted waves being in the plane of incident, and if

$$\beta z = \beta_r z$$

Then we have

$$\beta z \sin\phi_i = \beta_r z \sin\phi_r$$

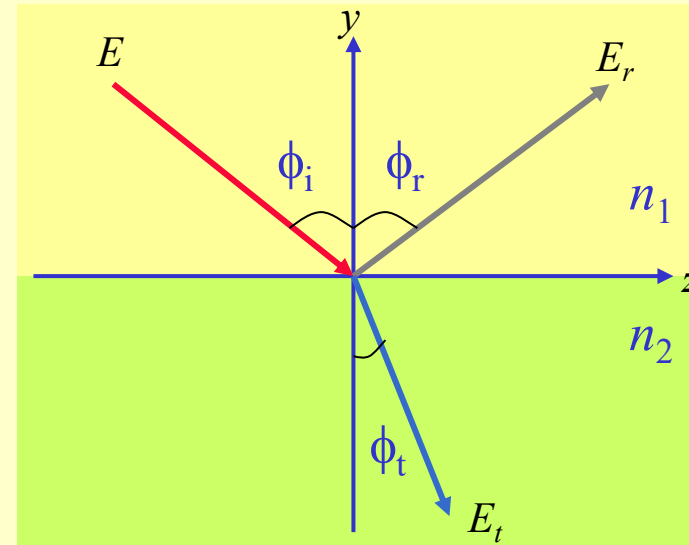
Note that, both waves are travelling in the same medium. Therefore have identical wavelength, so

$$\beta = \beta_r.$$

Thus

$$\phi_i = \phi_r$$

**Incident angle = Reflection angle**





# Law of Refraction

---

Similarly, we have

$$\beta z = \beta_t z$$

Then we have

$$\beta z \sin\phi_i = \beta_t z \sin\phi_t$$

So we have

$$\beta = \frac{\omega}{v_p} = \frac{n_1}{c} \omega$$

$$\beta_t = \frac{\omega}{v_{pt}} = \frac{n_2}{c} \omega$$

# One Dimensional EM Wave – Boundary Conditions

It applies to the instantaneous values of the fields at the boundary.

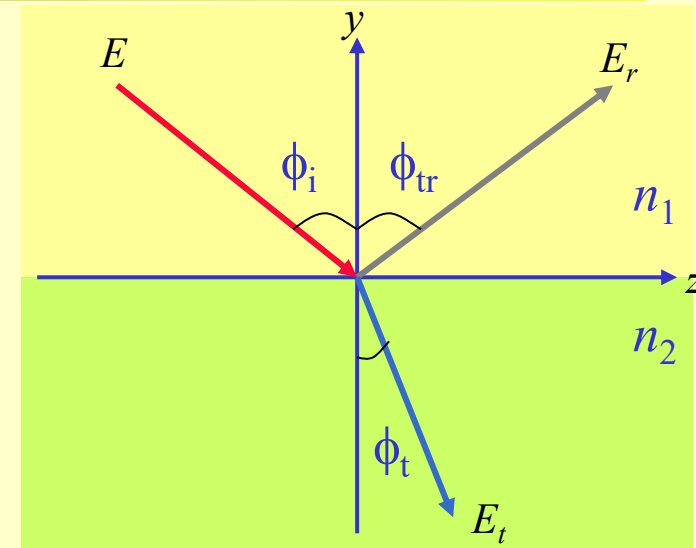
Note that

$$E = v_p H = (c/n)H$$

In each medium, we can write the following equations, assuming that  $\phi_i = \phi_r$

$$\text{TE:} \quad \begin{cases} E + E_r = E_t \\ n_1 E \cos \phi_i - n_1 E_r \cos \phi_i = n_2 E_t \cos \phi_t \end{cases}$$

$$\text{TM:} \quad \begin{cases} n_1 E + n_1 E_r = n_2 E_t \\ E \cos \phi_i - E_r \cos \phi_i = E_t \cos \phi_t \end{cases}$$



# Reflectance and Transmission

The reflection and transmission coefficients:

$$\rho_r = \frac{E_r}{E} \quad \text{and} \quad \rho_t = \frac{E_t}{E}$$

**Reflectance** – Is the fraction of the power  $P$  in the incident wave that is reflected

$$R = \frac{P_r}{P} = \left( \frac{E_r}{E} \right)^2 = \rho_r^2$$

**Transmittance** – Is the fraction of the power  $P$  in the incident wave that is transmitted

$$T = \frac{P_t}{P} = 1 - R \frac{n_2}{n_1} \left( \frac{\cos \phi_t}{\cos \phi_i} \right) \rho_t^2$$

# Phase Changes

---

## External Reflection

Note, in certain cases we have:

$$E_r = -|\rho_r|E$$

I.e., a phase change of  $\pi$

$$E_r = e^{j\pi} E_{0r}(z, \phi) e^{j(\omega_r t - \beta_r z)}$$

$$E_r = E_{0r}(z, \phi) e^{j(\omega_r t - \beta_r z - \pi)}$$

TE: Phase change takes place for any angel of incidence

TM: Phase change occurs for  $\phi_i > \phi_B$ , where  $\phi_B$  is the Brewster angle

# Phase Changes

## Internal Reflection

- For  $0 < \phi_i < \phi_c$ 
  - TE: No phase change
  - TM: Phase change of  $\pi$  for  $\phi_i < \phi'_B$

- For  $\phi_i > \phi_c$ 
  - TE: The phase change

$$\Delta\phi = -2\tan^{-1} \left\{ \frac{\sqrt{\sin^2 \phi_i - n^2}}{\cos \phi_i} \right\}, \text{ where } n = n_2/n_1$$

- TM: The phase change

$$\Delta\phi = -2\tan^{-1} \left\{ \frac{\sqrt{\sin^2 \phi_i - n^2}}{n^2 \cos \phi_i} \right\}, \text{ where } n = n_2/n_1$$

# Group Velocity

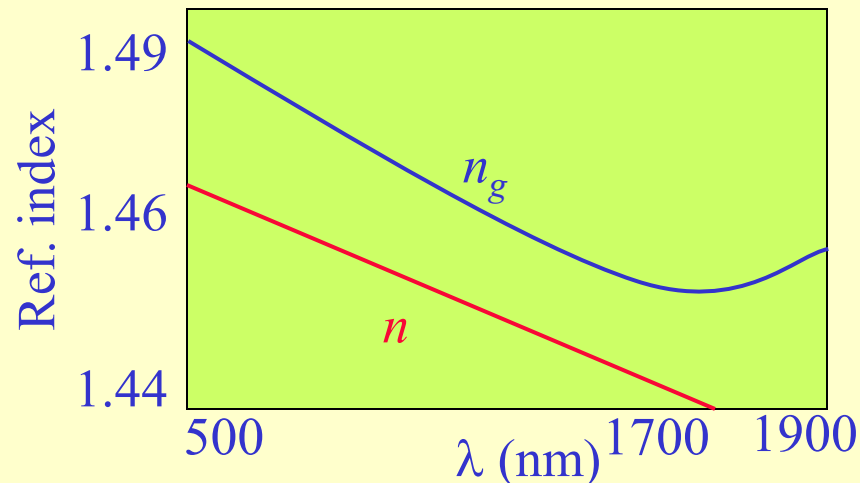
- A pure single frequency EM wave propagate through a wave guide at a

$$\text{Phase velocity } v_p = c / n$$

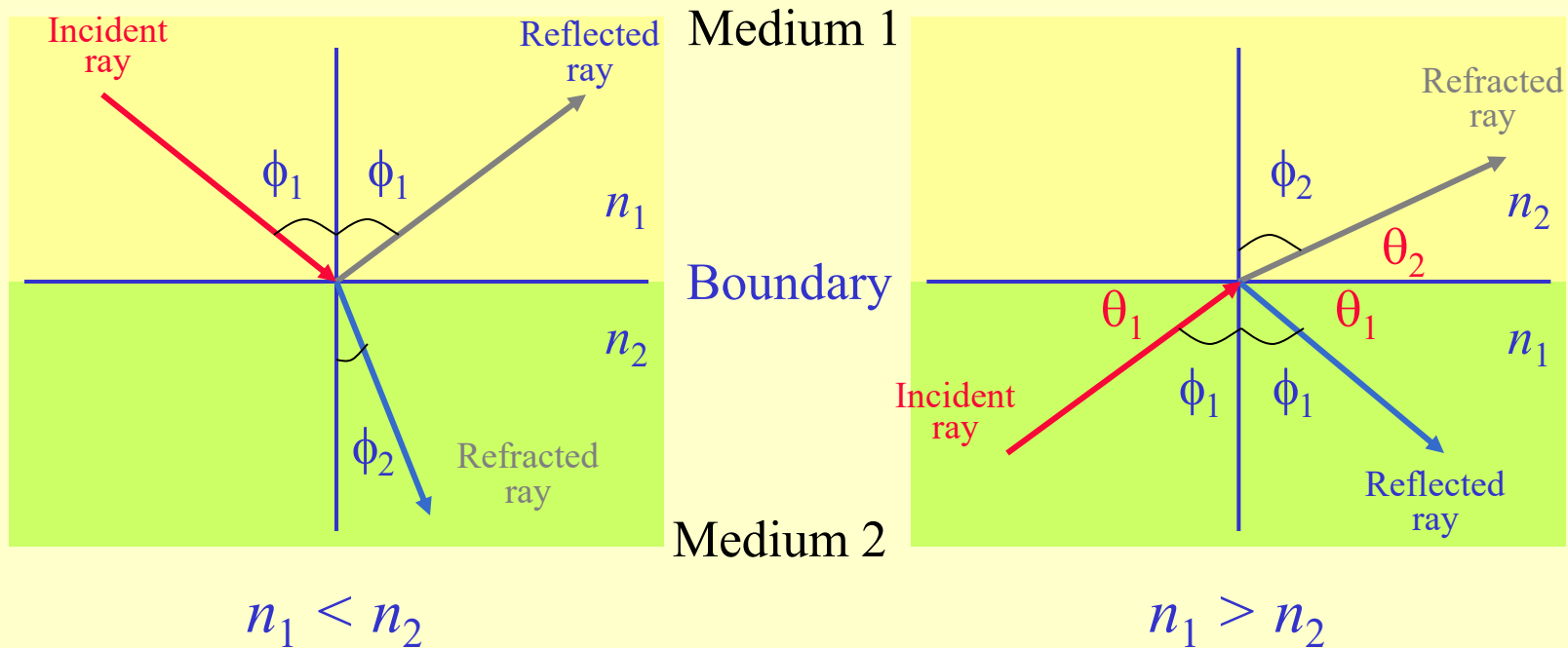
- However, non-monochromatic waves travelling together will have a velocity known as **Group Velocity**  $v_g = c / n_g$

Where the fibre group index is:

$$n_g = n - \lambda \frac{dn}{d\lambda}$$



# Reflection and Refraction of Light



Using the **Snell's law** at the boundary we have:

$$n_1 \sin \phi_1 = n_2 \sin \phi_2$$

or

$$n_1 \cos \theta_1 = n_2 \cos \theta_2$$

$\phi_1$  = The angle of incident

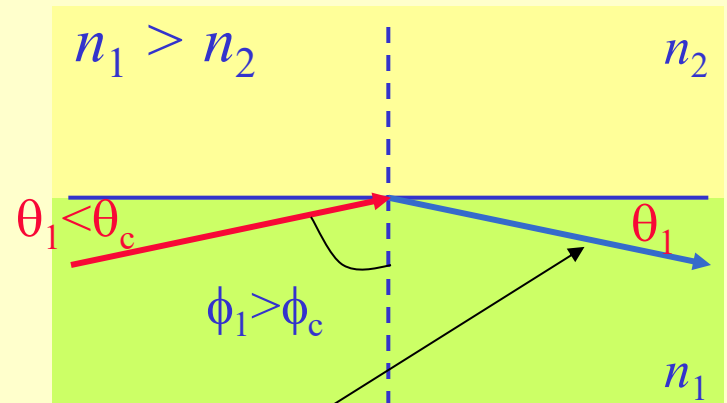
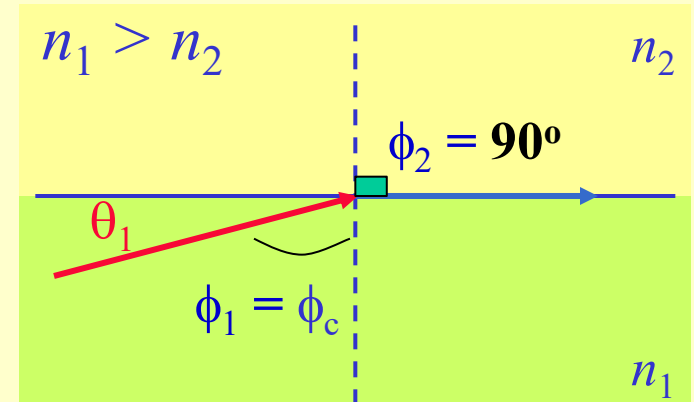
# Total Internal Reflection

- As  $\phi_1$  increases (or  $\theta_1$  decreases) the reflected ray approaches the boundary
- At  $\phi_1 = \text{Critical Angle } \phi_c$ , there is no reflection

So, for  $\phi_2 = 90^\circ$  (or  $\theta_2 = 0^\circ$ )

$$n_1 \sin \phi_1 = n_2 \sin 90^\circ$$

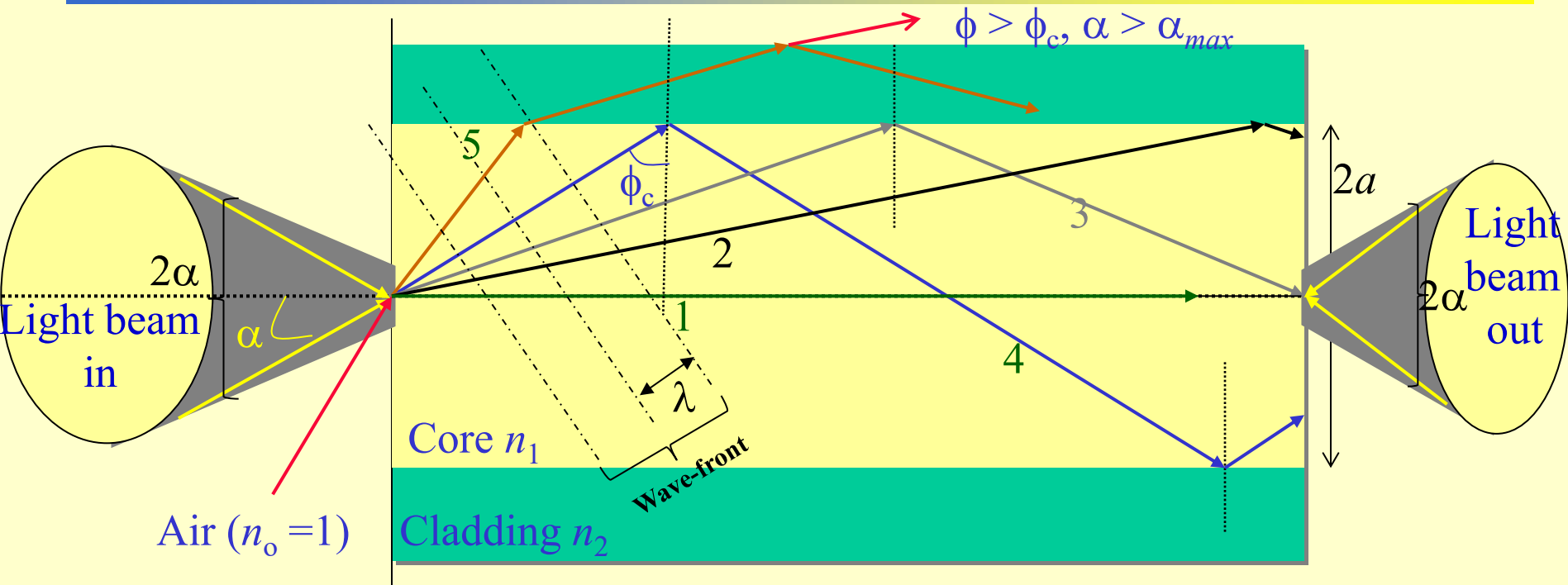
Thus, the critical angle  $\phi_c = \sin^{-1}\left(\frac{n_2}{n_1}\right)$



Beyond the critical angle ( $\phi_1 > \phi_c$ ), light ray becomes **totally internally reflected**



# Ray Propagation in Fibre - *Bound Rays*



At high frequencies  $f$ , since

- $a > \text{light wavelength } \lambda$ , light launched into the fibre core would propagate as plane TEM wave with  $v_p = \omega/\beta_1$
- $a < \lambda$ , light launched into the fibre will propagate within the cladding, thus unbounded and unguided plane TEM wave with  $v_p = \omega/\beta_2$

Therefore, we have  $n_2 k_0 = \beta_2 < \beta < \beta_1 = n_1 k_0$

# Ray Propagation in Fibre - contd.

From Snell's Law:

$$n_0 \sin \alpha = n_1 \sin (90 - \phi)$$

$$\alpha = \alpha_{max} \quad \text{when } \phi = \phi_c$$

$$\text{Thus, } n_0 \sin \alpha_{max} = n_1 \cos \phi_c$$
$$\sin^2 x + \cos^2 x = 1$$

Or

$$n_0 \sin \alpha_{max} = n_1 (1 - \sin^2 \phi_c)^{0.5}$$

$$\text{Since } \phi_c = \sin^{-1} \left( \frac{n_2}{n_1} \right)$$

$$\text{Then } n_0 \sin \alpha_{max} = n_1 \left[ 1 - \left( \frac{n_2}{n_1} \right)^2 \right]^{0.5} = [n_1^2 - n_2^2]^{0.5}$$
$$= [n_1^2 - n_2^2]^{0.5} = \text{Numerical Aperture (NA)}$$

$$\text{Therefore } n_0 \sin \alpha_{max} = \text{NA}$$

Fibre acceptance angle

$$\alpha_{max} = \sin^{-1} \left( \frac{\text{NA}}{n_0} \right)$$

# Ray Propagation in Fibre - contd.

---

Note  $\frac{n_1 - n_2}{n_1} = \Delta$       Relative refractive index difference

Thus  $NA = n_1(2\Delta)^{0.5}$   $0.14 < NA < 1$

NA determines the light gathering capabilities of the fibre

# Modes (Paths) in Fibre

- A fiber can support:
  - many modes (**multi-mode fibre**).
  - a single mode (**single mode fibre**).
- For the mode to propagate we must have

$$\underbrace{(4a \cos \phi_i) \frac{2\pi n_1}{\lambda_0} + 2\Delta\phi}_{\text{The total phase change}} = 2m\pi$$

where  $m$  is an integer

Or

$$\frac{4a\pi n_1 \cos \phi_i}{\lambda_0} + \Delta\phi = m\pi$$

- For each value of  $m$  there will a corresponding value of  $\phi_i$ , namely  $\phi_m$ , that satisfies this equation.
- The dependence of  $\Delta\phi$  at reflection on  $\phi$  is such that we cannot obtain an explicit expression for  $\phi$  in terms of  $m$ . **So the equation must be solved either numerically or graphically.**

# Modes in Fibre

Let's rewrite the equation as:

$$\frac{4a\pi n_1 \cos\phi_i}{\lambda_0} = m\pi - \Delta\phi$$

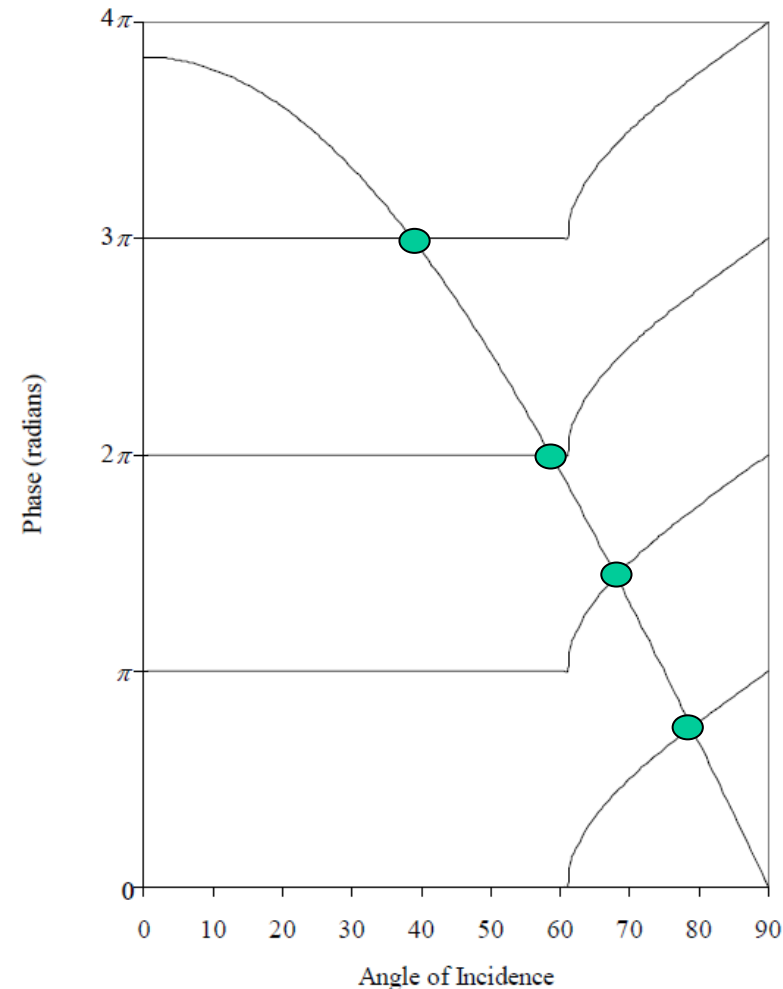
The intersection of the two curve of

$$y = \frac{4a\pi n_1 \cos\phi_i}{\lambda_0} \quad \text{and} \quad y = m\pi - \Delta\phi$$

defines the values of  $\phi$  for which the modes propagates along the waveguide.

Note that, we are only interested in  $\phi < \phi_c$ .

Graphical solution for a symmetric planar waveguide with  $n_1 = 1.6$ ,  $n_2 = 1.4$ ,  $d = 600$  nm and  $\lambda_0 = 500$  nm



# Modes in Fibre

- Both  $TE_m$  and  $TM_m$  modes will be cut-off when

$$\frac{4a\pi n_1 \cos\phi_i}{\lambda_0} = m\pi$$

Note,  $\cos\phi_c = (1 - \sin^2\phi_c)^{0.5} = (1 - (n_2/n_1)^2)^{0.5}$

$$\frac{4a\pi n_1}{\lambda_0} \left[ 1 - \left( \frac{n_2}{n_1} \right)^2 \right]^{0.5} = m\pi$$

Or

$$V = \frac{m\pi}{4}$$

**V Parameter**

# Modes in Fibre

- **V Parameter** - The number of modes [also known as the normalised frequency] supported in a fiber, which is determined by the **indices, operating wavelength** and the **diameter of the core**, given as:

$$V = \frac{a\pi n_1}{\lambda_0} \left[ 1 - \left( \frac{n_2}{n_1} \right)^2 \right]^{0.5}$$

or

$$V = \frac{\pi a}{\lambda_0} NA$$

Note, a mode remains guided provided the following is satisfied

$$n_2 k_0 = \beta_2 < \beta < \beta_1 = n_1 k_0$$

# Modes in Fibre

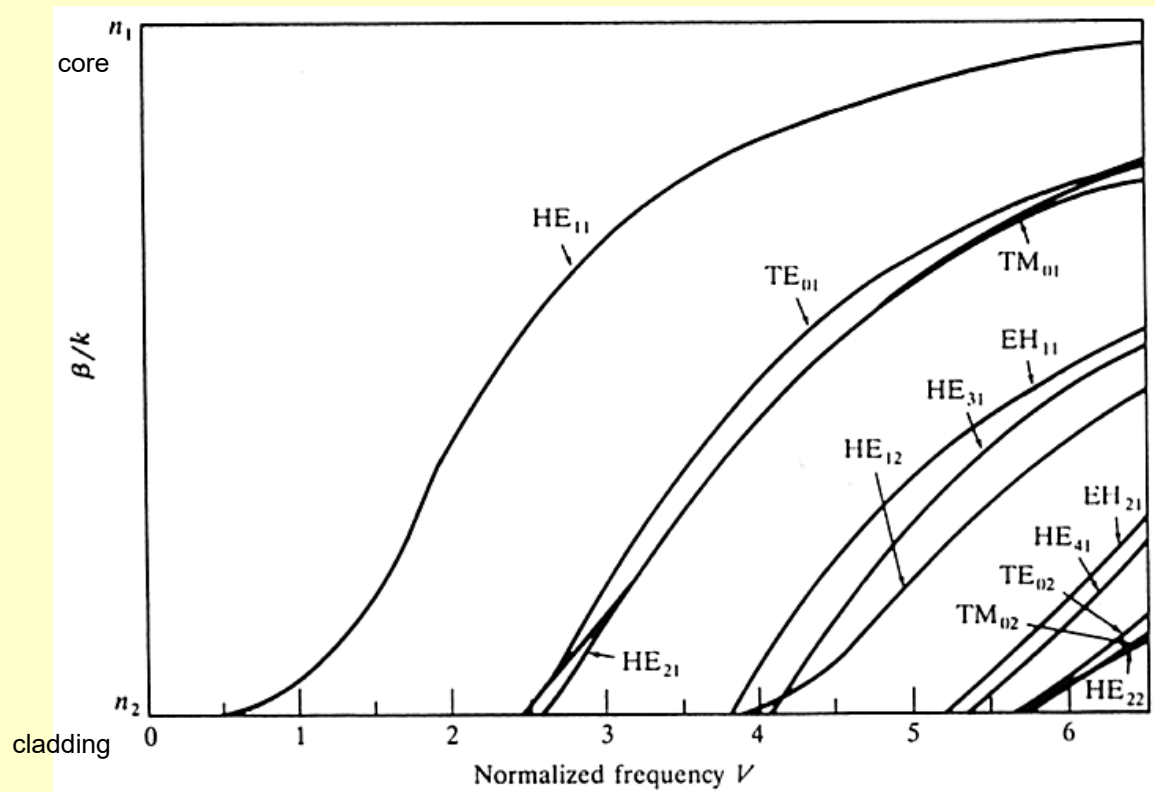
- The number of TE or TM modes propagating is given by:

$$N_m = 1 + INT(2V/\pi)$$

- For  $V < \pi/2$ ,  $N_m = 1$ , i.e., only one mode ( $m = 0$ , the lowest order) will propagate. **In practice there will be two modes of  $TE_0$  and  $TM_0$ .**
- **$V < 2.405$  - Corresponds to a single mode fiber.**
- By reducing the radius of the fiber,  $V$  goes down, and it becomes impossible to reach a point when only a **single mode** can be supported.



# Modes in Fibre



$$n_2 k = k_2 \leq \beta \leq k_1 = n_1 k,$$

$$k = 2\pi / \lambda_0$$

$$\mathbf{E} \propto \exp(j\omega t - \beta z)$$

# Modes in Fibre

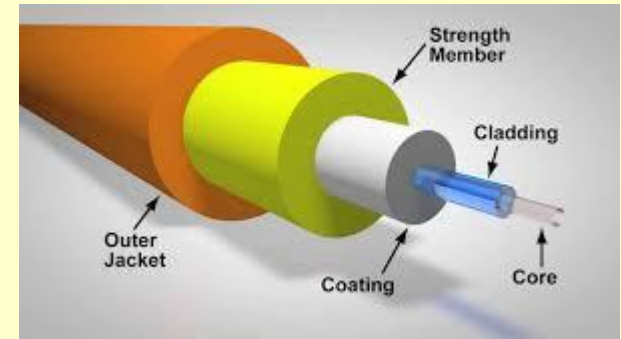
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**Problem:** Consider a symmetric planar dielectric waveguide of 100  $\mu\text{m}$  thick with core and cladding refractive indices of 1.54 and 1.5, respectively. Determine the number of possible modes at a operating wavelength of 1300 nm.

Solution:

# Basic Fibre Properties

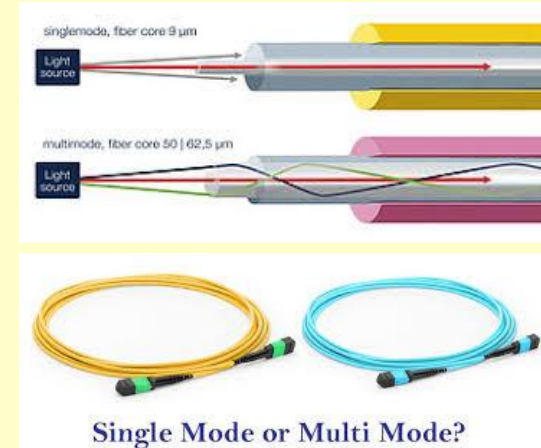
- Cylindrical
- Dielectric
- Waveguide
- Low loss
- Usually fused silica
- Core refractive index  $>$  cladding refractive index
- Operation is based on **total internal reflection**



# Types of Fibre

There are two main fibre types:

- **Step index:**
  - Multi-mode
  - Single mode
- **Graded index multi-mode**



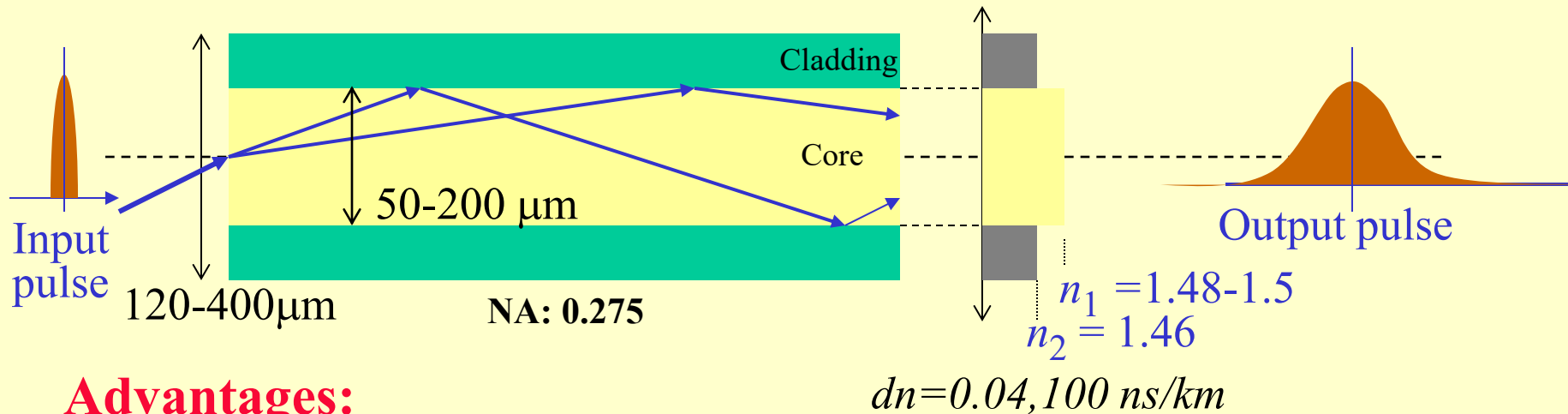
Total number of guided modes  $M$  for multi-mode fibres:

Multi-mode SI  $M = 0.5V^2$

Multi-mode GI  $M \approx 0.25V^2$

SMSI  $V < 2.405$

# Step-index Multi-mode Fibre



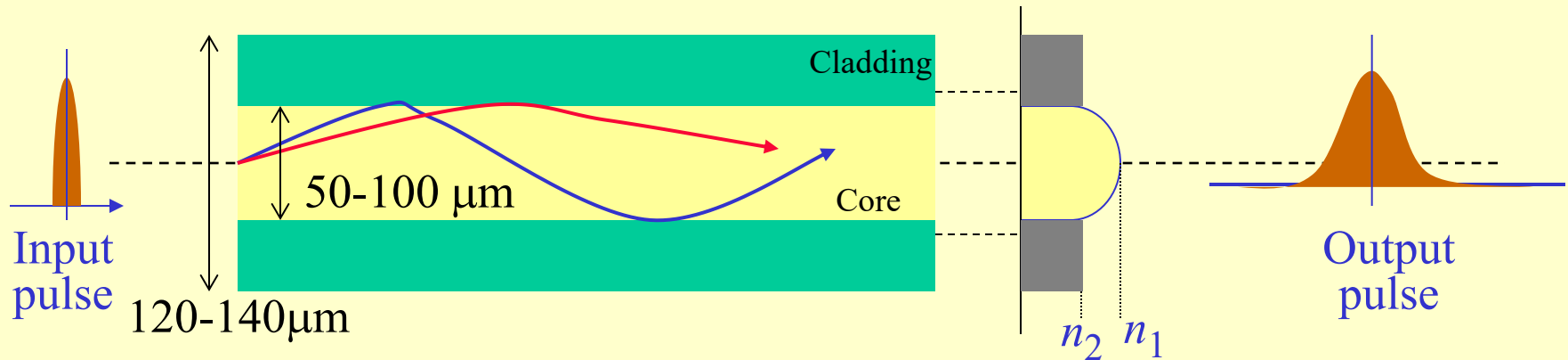
## Advantages:

- Use of non-coherent optical light source, e.g., LED's
- Easy to connect similar fibres
- Lower tolerance requirements on fibre connectors.
- Cost effective

## Disadvantages:

- Suffer from dispersion (i.e., low bandwidth (a few MHz))
- High power loss

# Graded-index Multi-mode Fibre



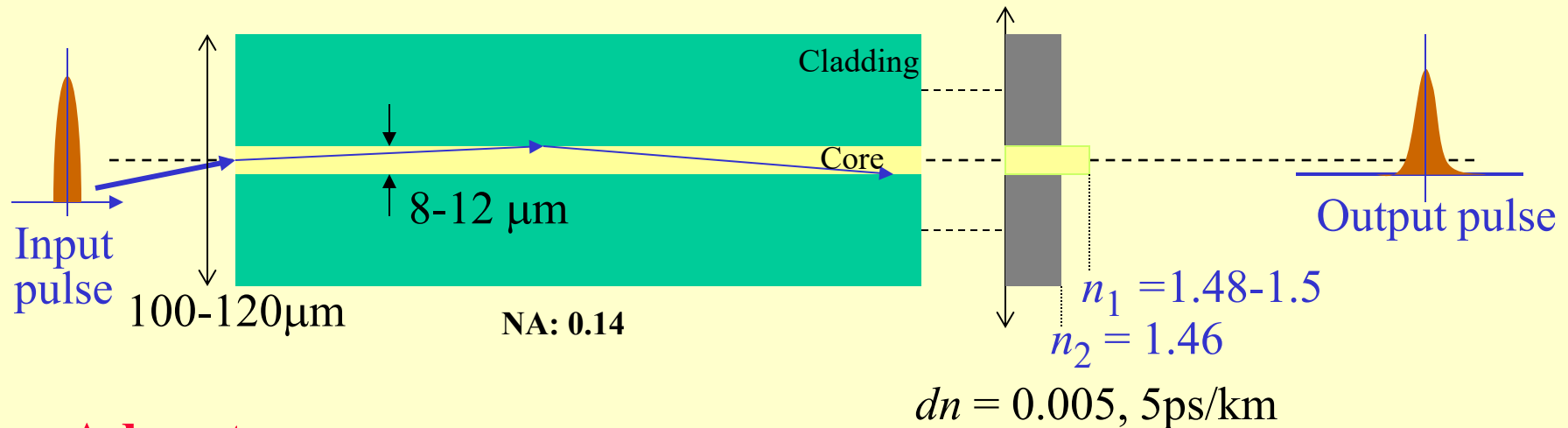
## Advantages:

- Use of non-coherent optical light source, e.g. LED's
- Facilitates connecting similar fibres
- Imposes lower tolerance requirements on fibre connectors.
- Reduced dispersion compared with STMMF

## Disadvantages:

- Lower bandwidth
  - High power loss
- Compared with STSMF

# Step-index Single-mode Fibre



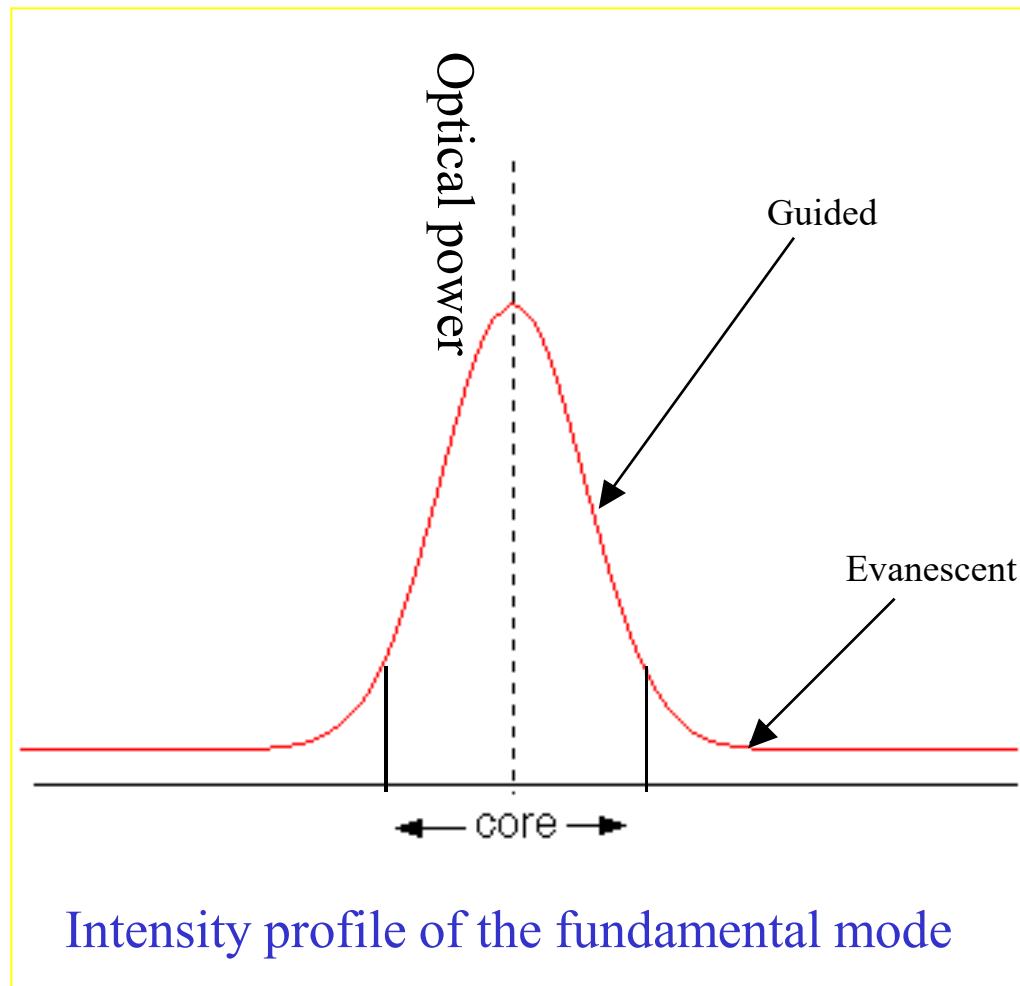
## Advantages:

- Only one mode is allowed due to diffraction/interference effects.
- Use high power laser sources
- Facilitates fusion splicing similar fibres
- **Low dispersion, therefore high bandwidth (a few GHz).**
- Low loss (0.1 dB/km)

## Disadvantages:

- **Cost**

# Single Mode Fiber - Power Distribution



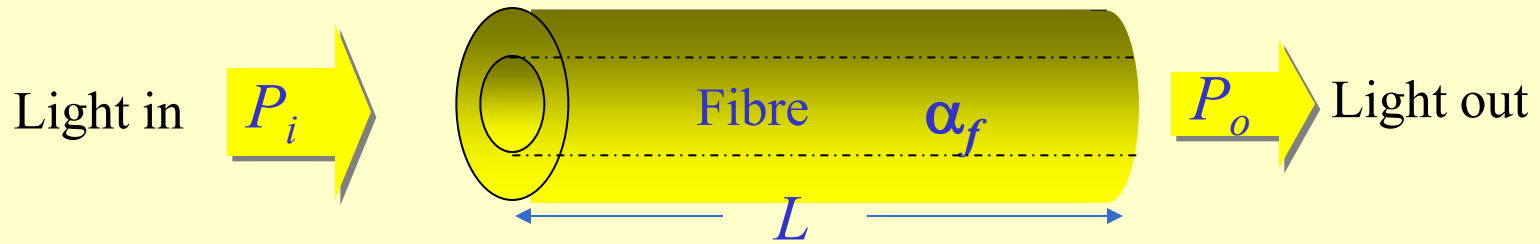


# Fibre Characteristics

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- The most important characteristics that limit the transmission capabilities are:
  - Attenuation (loss)
  - Dispersion (pulse spreading)

# Attenuation (Loss) - *contd.*



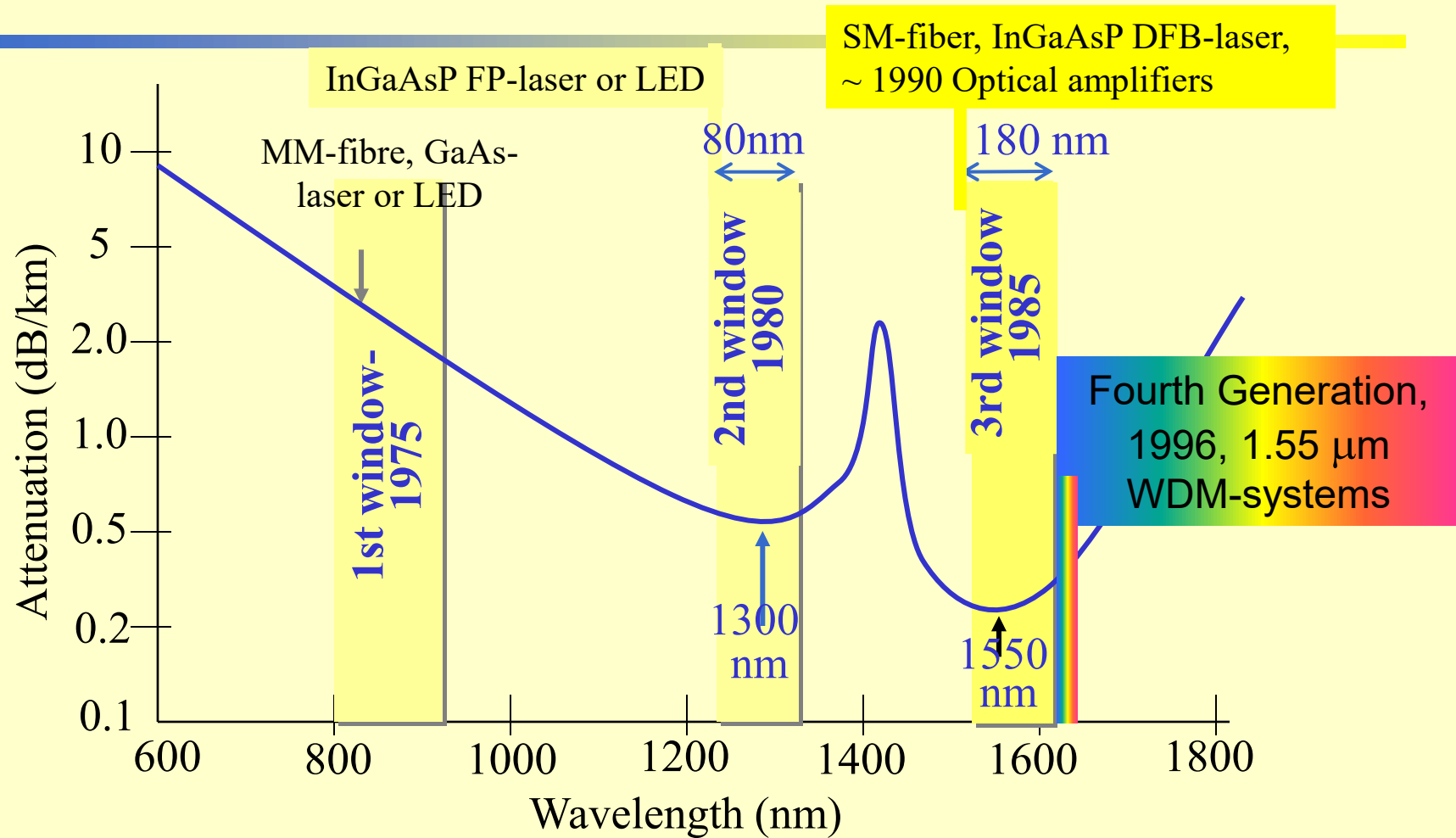
The output power  $P_o(L) = P_i(0) \cdot e^{-\alpha_f L}$

Fibre attenuation coefficient  $\alpha_f = \frac{1}{L} \ln \left( \frac{P_o}{P_i} \right) \text{ km}^{-1}$   
( $\alpha_f = \alpha_{\text{scattering}} + \alpha_{\text{absorption}} + \alpha_{\text{bending}}$ )

However, it is common to express fibre attenuation coefficient in dB/km:

$$\alpha_f = \frac{10}{L} \log \left( \frac{P_o}{P_i} \right) = 4.43 \alpha_f \text{ (km}^{-1}\text{)}$$

# Attenuation - Standard Fibre

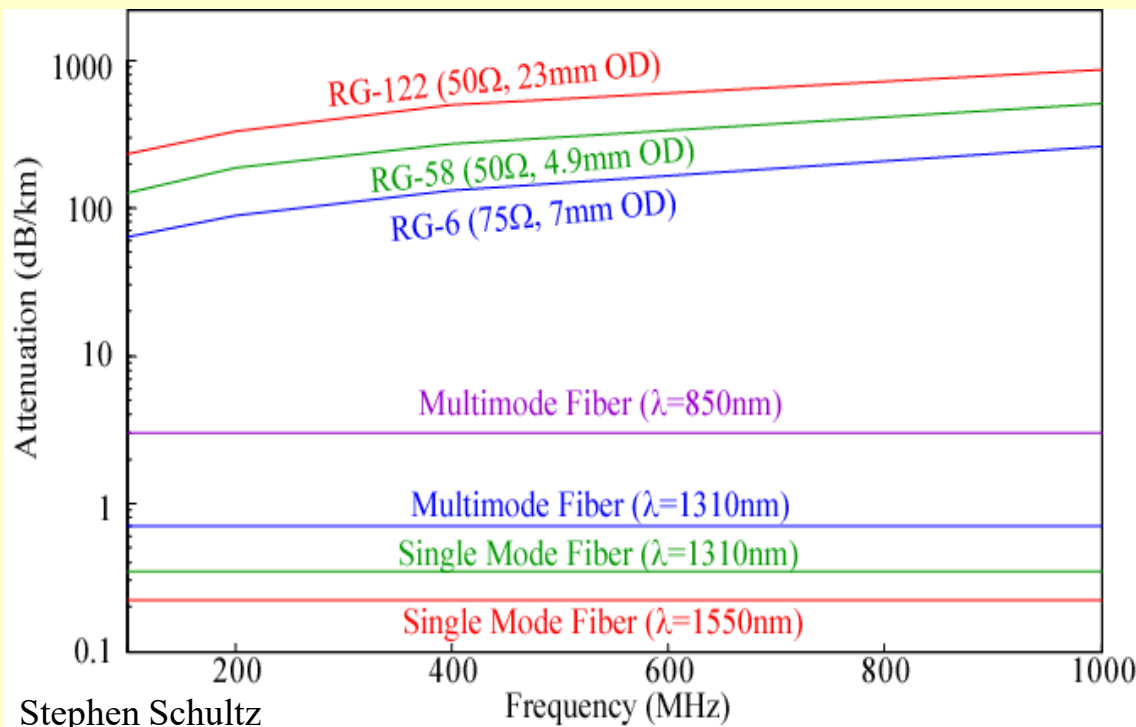


**Bandwidth**  $\Delta f = \frac{c}{\lambda^2} \Delta \lambda = 1.142 \times 10^{14} \text{ Hz} \big|_{\lambda 1300 \text{ nm}} + 2.2475 \times 10^{14} \text{ Hz} \big|_{\lambda 1550 \text{ nm}}$

# Fibre Attenuation - *contd.*

- In a typical system, the total loss could be **20-30 dB**, before it needs amplification.

So, at 0.2 dB/km, this corresponds to a distance of **100-150 km**.



Attenuation along the fibre link can be measured using **Optical Time Domain Reflectometer**

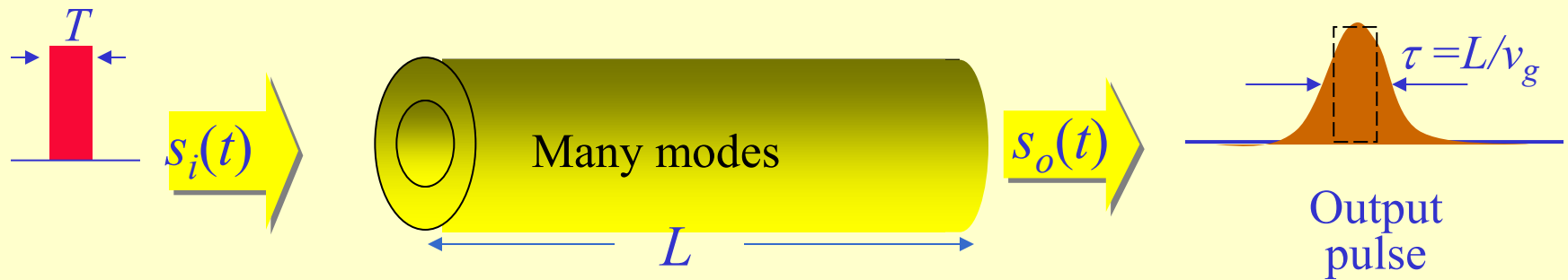
# Fibre Attenuation - *contd.*

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- Bending loss
  - Radiation loss at bends in the optical fiber
  - Insignificant unless  $R < 1\text{mm}$
  - Larger radius of curvature becomes more significant if there are accumulated bending losses over a long distance
- Coupling and splicing loss
  - Misalignment of core centers
  - Tilt
  - Air gaps
  - End face reflections
  - Mode mismatches

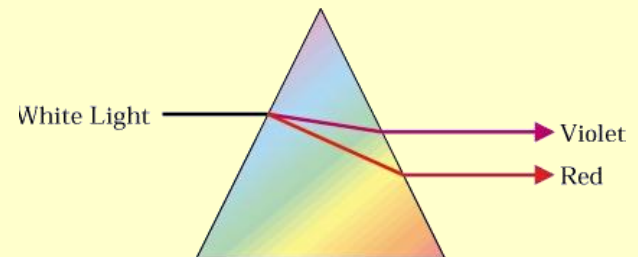
# Fibre Dispersion

- Digital data carried composed of a large number of frequencies travelling at a given rate.
- There is a limit to the highest data rate (frequency) that can be sent down a fibre and be expected to emerge intact at the output.
  - Because of a phenomenon known as **Dispersion** (pulse spreading), which limits the "**Bandwidth**" of the fibre.



## Cause of Dispersion:

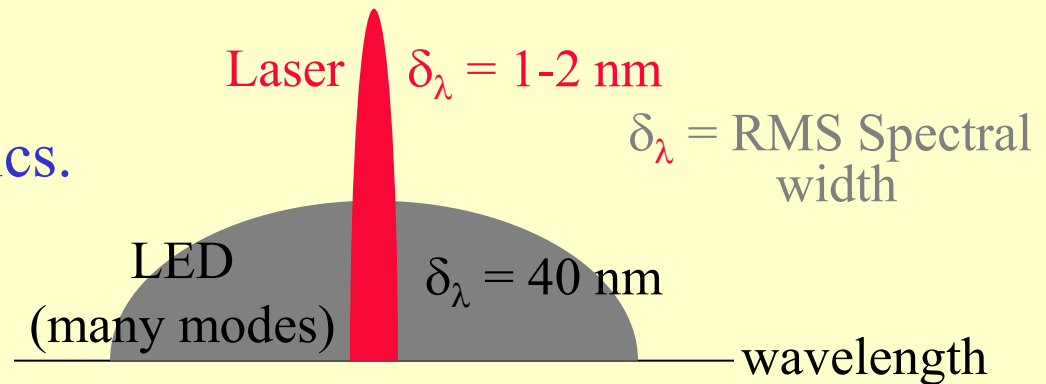
- Chromatic (intramodal) dispersion
- Modal (intermodal) dispersion



# Chromatic (Intramodal) Dispersion

- Since  $v_g = f(\lambda)$ , then in any given mode, different spectral components of a pulse is traveling through the fibre at different speed.

- It depends on the light source spectral characteristics.



- May occur in all fibre, **but is the dominant in single mode fibre.**
- **Main causes:**
  - **Material dispersion** - different wavelengths  $\Rightarrow$  different speeds
  - **Waveguide dispersion:** different wavelengths  $\Rightarrow$  different angles

# CD - Material Dispersion

- In intensity modulated light sources, all modes are excited equally at the input to the fibre:
  - Each mode:
    - carry the same amount of information
    - contains all the spectral components in the fibre
  - Each spectral component@
    - is modulated in the same way
    - travel independently and undergo a time delay (or a group delay) per unit length as it propagates along the fibre.

$$v_g = \frac{d\omega}{d\beta} = \frac{d(2\pi f)}{d(\frac{2\pi}{\lambda})} = \frac{d\omega}{df} \times \frac{df}{d\lambda} \times \frac{d\lambda}{d\beta}$$

$$v_g = 2\pi \times \frac{df}{d\lambda} \times \left( -\frac{\lambda^2}{2\pi} \right) = -\lambda^2 \frac{df}{d\lambda}$$

Note: the vacuum wavelength  $\lambda_0 = n \lambda$



# Material Dispersion

So we can re-write the group velocity as:


$$v_g = -\frac{\lambda_0^2}{n^2} \left( \frac{df}{d\lambda_0} \times \frac{d\lambda_0}{d\lambda} \right) = -\frac{\lambda_0^2}{n^2} \left( \frac{c}{\lambda_0^2} \div \frac{d\lambda}{d\lambda_0} \right)$$

$$v_g = \frac{c}{n^2} \left( \frac{n^2}{n - \lambda_0 dn/\lambda_0} \right) = \left( \frac{c}{n - \lambda_0 dn/\lambda_0} \right)$$

Given that the group index  $n_g = \frac{c}{v_g}$ .

Then we have

$$n_g = n - \lambda_0 \frac{dn}{d\lambda_0}$$

**Due to material dispersion** 

The group delay over a transmission span of  $L$  is:  $\tau_g = \frac{L}{v_g} = \frac{L}{c} \left( n - \lambda_0 \frac{dn}{d\lambda_0} \right)$

# Material Dispersion

Note that  $\tau_{mat} = \delta\lambda_0 \frac{d\tau_g}{d\lambda_0}$

If the wavelength spread is  $\delta\lambda_0$ , then we have  
**RMS pulse broadening**

Where material dispersion coefficient:

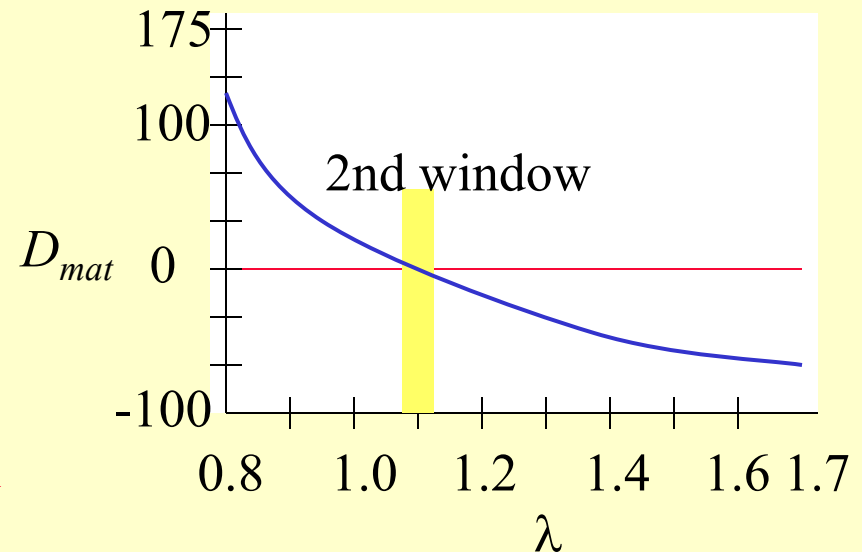
$$D_{mat} = \frac{d}{d\lambda} \left( \frac{1}{v_g} \right)$$

$$D_{mat} = -\frac{\lambda_0}{c} \frac{d^2 n}{d\lambda_0^2} \quad ps / nm.km$$

**Note:** Negative sign, indicates that low wavelength components arrive before higher wavelength components.

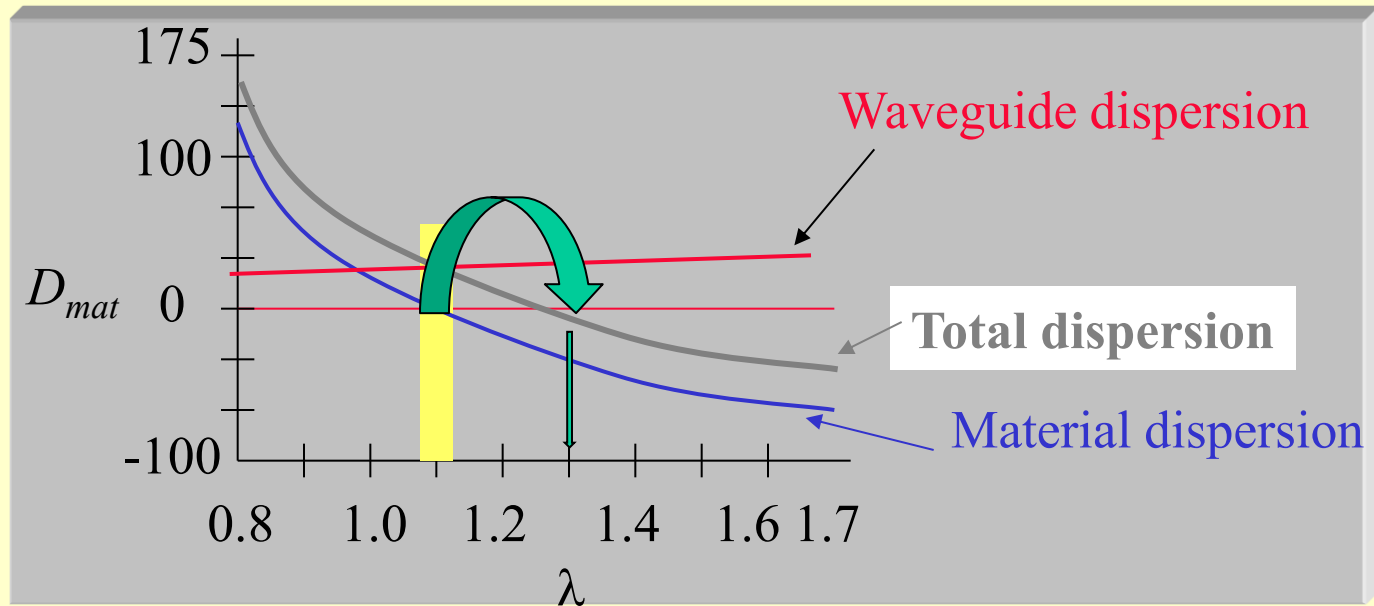
Most important

$$\tau_{mat} = -L \delta\lambda_0 \frac{\lambda_0}{c} \left| \frac{d^2 n}{d\lambda_0^2} \right| \quad ns / km$$

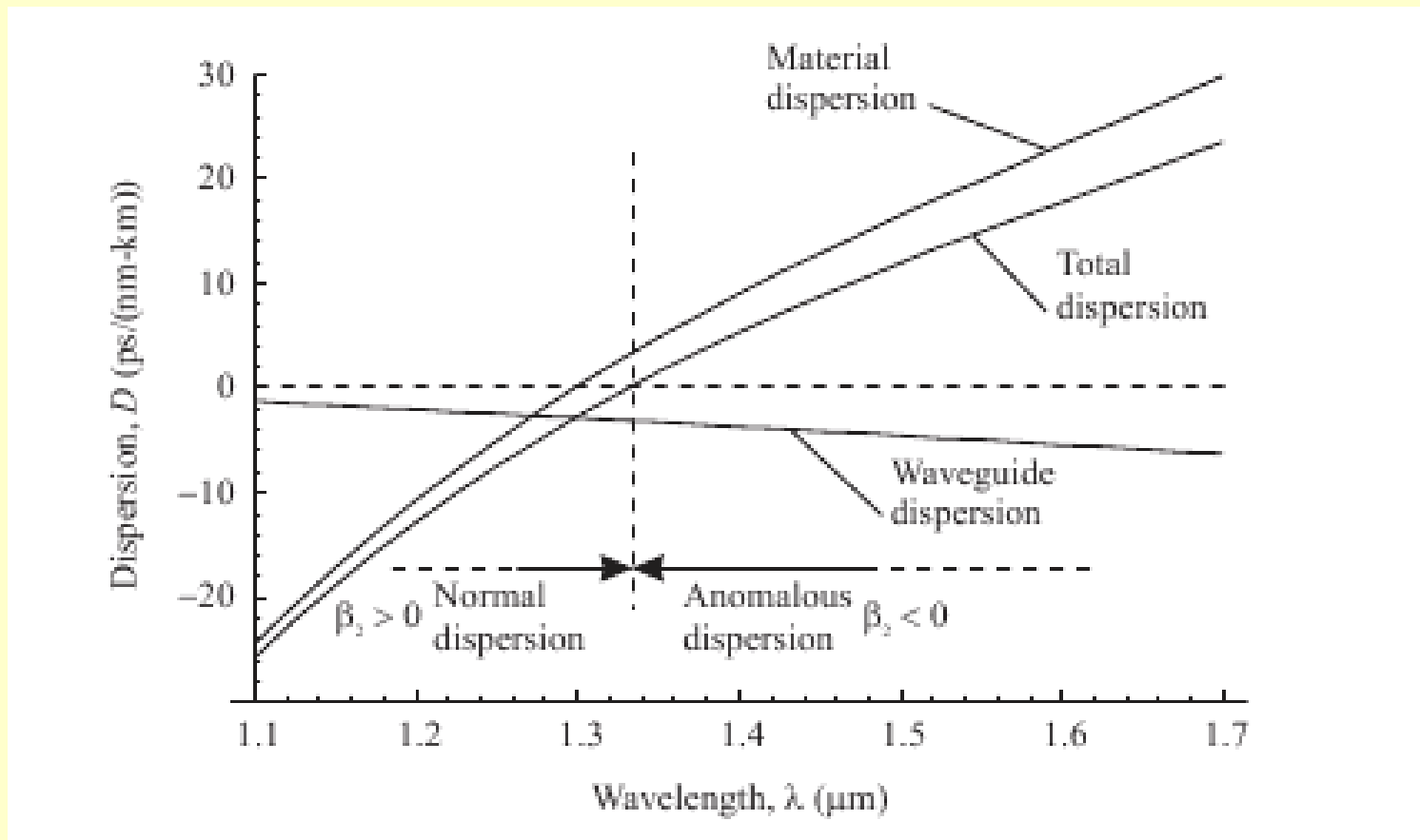


# CD - Waveguide Dispersion

- This results from variation of the group velocity with wavelength for a particular mode. Depends on the size of the fibre.
- This can usually be ignored in multimode fibres, since it is very small compared with material dispersion.
- However it is significant in monomode fibres.

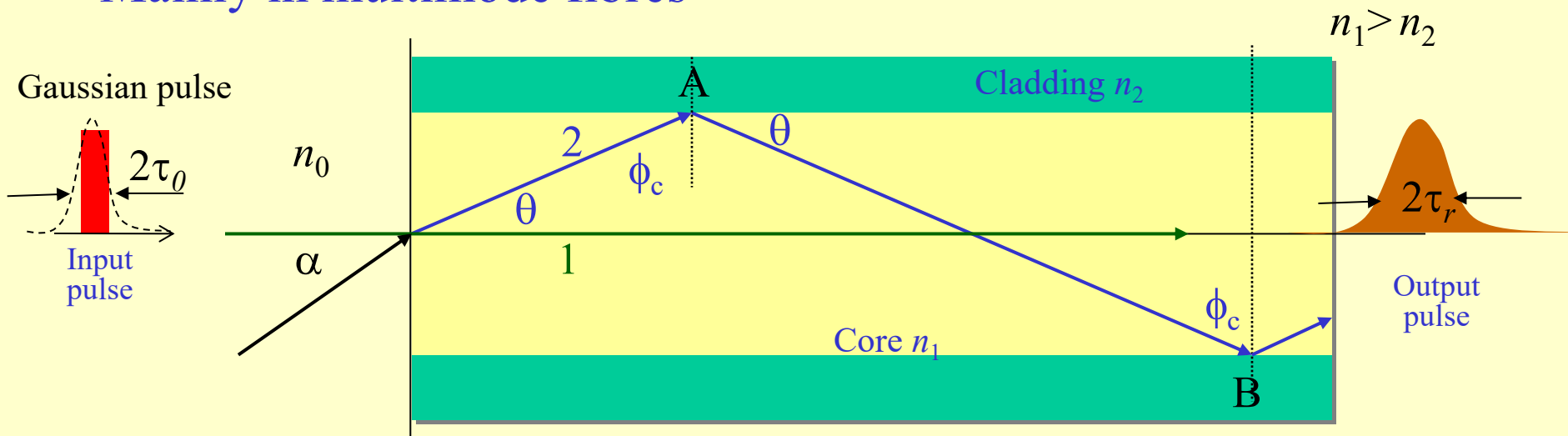


# Chromatic (Intramodal) Dispersion



# Modal (Intermodal) Dispersion

- Lower order modes travelling almost parallel to the centre line of the fibre cover the **shortest distance**, thus reaching the end of fibre sooner.
- The higher order modes (more zig-zag rays) take a **longer route** as they pass along the fibre and so reach the end of the fibre later.
- Mainly in multimode fibres



# Modal Dispersion - SIMMF

The Gaussian pulse at the transmitter:

$$P(t, z = 0) = P_0 \exp\left[-\frac{2(t - t_0)^2}{\tau_0^2}\right]$$

The pulse at a distance  $z$  is:

$$P(t, z) = \frac{\tau_0}{\tau_r} P_0 \exp\left[-\frac{2(t - t_z)^2}{\tau_r^2}\right]$$

Now

$$\tau_r^2 = \tau_0^2 + \delta\tau^2$$

└─ Pulse broadening

Next, let's look at pulse broadening.

# Modal Dispersion - SIMMF

The time taken for ray 1 to propagate a length of fibre  $L$  gives the minimum delay time:

$$t_{\min} = \frac{Ln_1}{c}$$

The time taken for the ray 2 to propagate a length of fibre  $L$  gives the maximum delay time:

$$t_{\max} = \frac{L/\cos\theta}{c/n_1}$$

Since  $\sin\phi_c = \frac{n_2}{n_1} = \cos\theta$

The delay difference

$$\delta T_s = \delta\tau = t_{\max} - t_{\min} = \frac{n_1 L}{c} \left( \frac{n_1}{n_2} - 1 \right)$$

Note, the relation ship between transmission bandwidth  $B_T$  and pulse broadening

$$\delta\tau < T_b \quad \text{where } T_b \approx \frac{1}{B_T}$$

Therefore

$$\delta\tau B_T < 1 \Rightarrow B_T \frac{n_1 L}{c} \left( \frac{n_1}{n_2} - 1 \right) < 1 \Rightarrow B_T L < \left( \frac{n_2 c}{n_1 (n_1 - n_2)} \right)$$

# Modal Dispersion - SIMMF

$$B_T L < \left( \frac{n_2 c}{n_1 (n_1 - n_2)} \right)$$

So, for a given fibre with fixed  $n_1$  and  $n_2$ , Bandwidth Distance Product  $B_T L$  is constant

So, what is the implication of this?

Lets' consider two scenarios:

S1:  $n_1 = 1.5$ , and  $n_2 = 1$  (i.e., un-cladded fibre)

$$B_T L < 0.4 \text{ Gb/s-m or } 0.4 \text{ Mb/s-km}$$

S2:  $n_1 = 1.5$ , and  $\Delta = 1\%$  (cladded fibre)

$$B_T L < 20 \text{ Gb/s-m or } 1 \text{ Mb/s-20 km}$$

So, small is  $\Delta$ , the higher would be the data rate!  
*One more reason not to use un-cladded*



# Modal Dispersion - SIMMF

For  $\Delta \ll 1$ ,  $\Delta = \frac{(n_1 - n_2)}{n_2}$  and  $NA = n_1(2\Delta)^{0.5}$

The delay difference  $\delta T_s \approx \frac{Ln_1^2}{cn_2} \Delta$   $\delta\tau = \delta T_s \approx \frac{L(NA)^2}{2cn_1}$

For a rectangular input pulse, the RMS pulse broadening due to modal dispersion at the output of the fibre is:

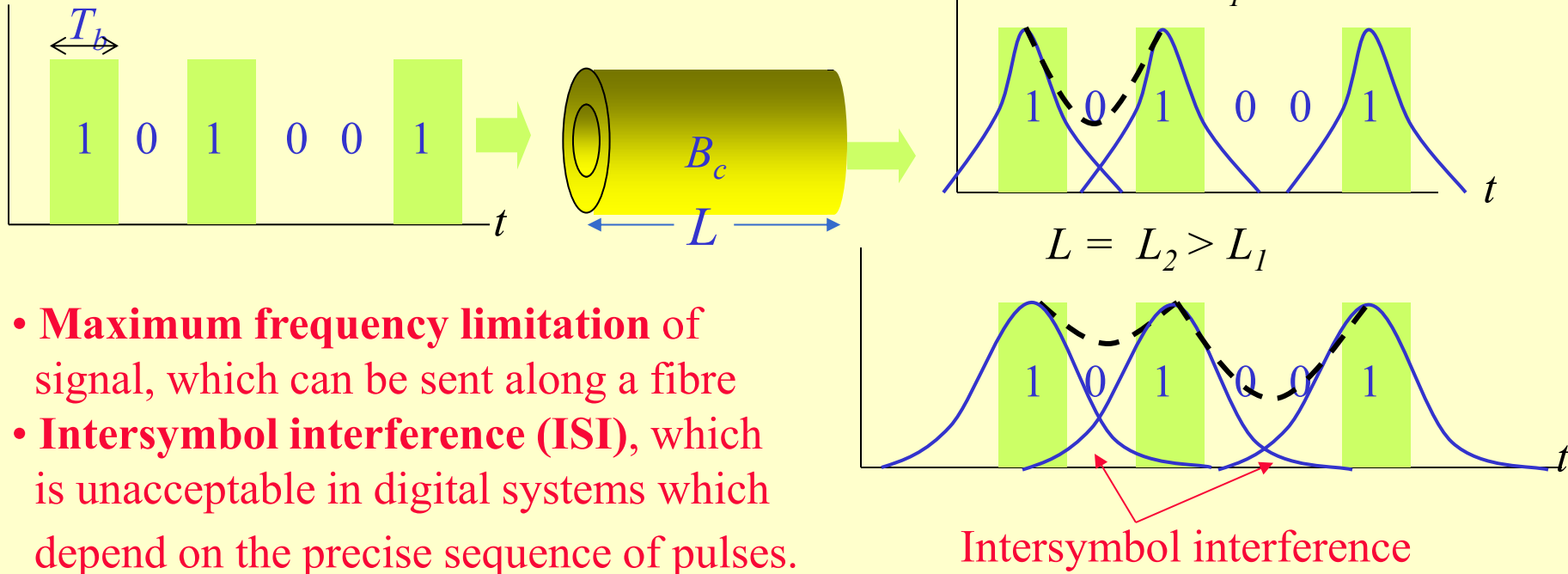
$$\tau_{\text{modal}} = \frac{Ln_1\Delta}{3.5c} = \frac{L(NA)^2}{7n_1C}$$

**Total dispersion = Chromatic dispersion + Modal dispersion**

$$\tau_T = [\tau_{\text{chrom}}^2 + \tau_{\text{modal}}^2]^{1/2}$$

# Dispersion - Consequences

## I- Frequency Limitation (Bandwidth)



- **Maximum frequency limitation** of signal, which can be sent along a fibre
- **Intersymbol interference (ISI)**, which is unacceptable in digital systems which depend on the precise sequence of pulses.

**II- Distance:** A given length of fibre, has a maximum frequency (bandwidth), which can be sent along it. To increase the bandwidth for the same type of fibre one needs to decrease the length of the fibre.

# Bandwidth Limitations

- Maximum channel bandwidth  $B_c$ :
  - For non-return-to-zero (NRZ) data format:  $B_c = B_T/2$
  - For return-to-zero (RZ) data format:  $B_c = B_T$
- For zero pulse overlap at the output of the fibre  $B_T \leq 1/(2\tau_r)$  where  $\tau_r$  is the pulse width.

For MMSF:  $B_T (\text{max}) = 1/2\delta T_s$

- For a Gaussian shape pulse:  $B_T \leq 0.2/\tau_{rms}$  where  $\tau_{rms}$  is the RMS pulse width.

For MMSF:  $B_T (\text{max}) = 0.2/\tau_{\text{modal}}$

or

$B_T (\text{max}) = 0.2/\tau_T$       Total dispersion

# Bandwidth Distance Product (BDP)

The BDP is the bandwidth of a kilometer of fibre, and is a constant for any particular type of fibre.

$$B_{opt} * L = B_T * L \quad (\text{MHz-km})$$

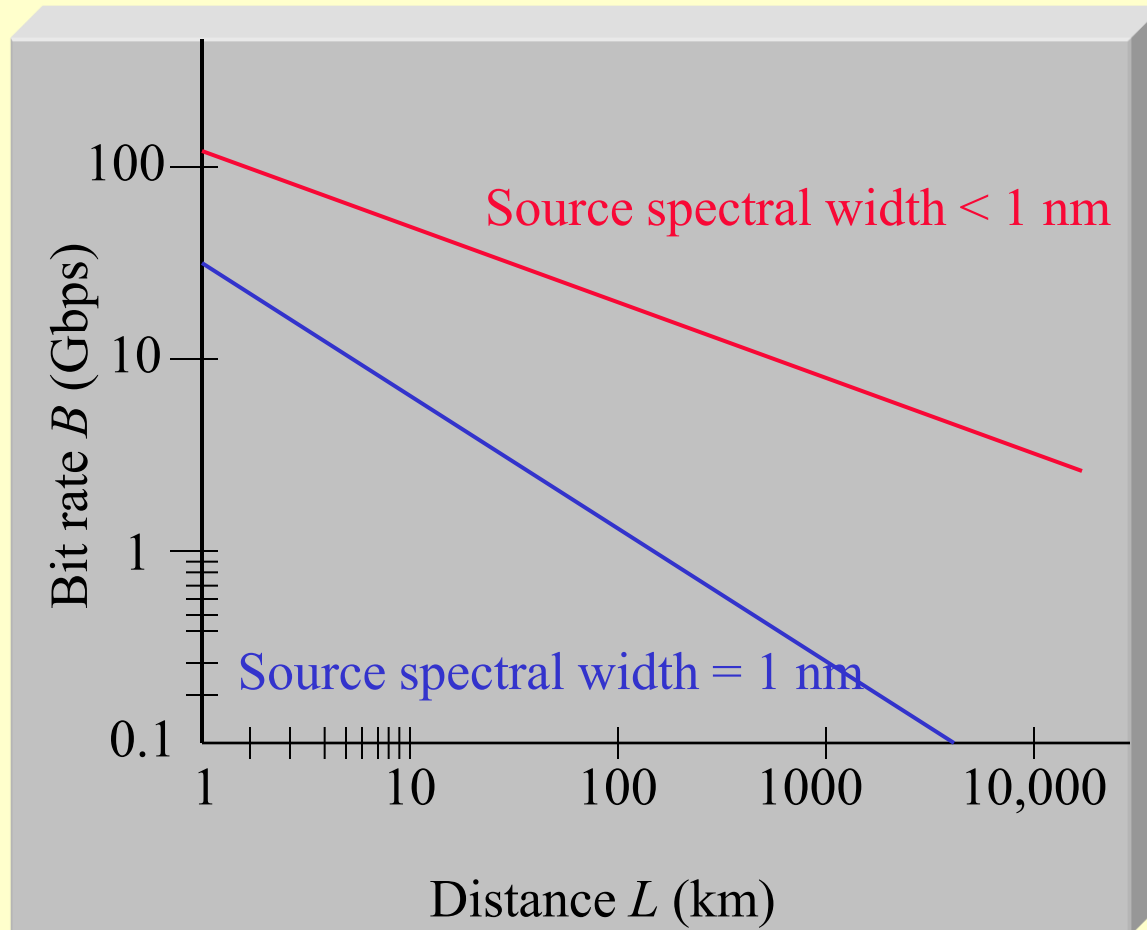
*For example, A multimode fibre has a BDP of 20 MHz.km, then:-*

- 1 km of the fibre would have a bandwidth of 20 MHz
- 2 km of the fibre would have a bandwidth of 10 MHz

Typical BDP for different types of fibres are:

- Multimode: 6 - 25 MHz.km
- Single Mode: 500 - 1500 MHz.km
- Graded Index: 100 - 1000 MHz.km

# Bandwidth Distance Product



$$D_{mat} = 17 \text{ ps/km.nm}$$

# Controlling Modal Dispersion in SIMMF

Use Graded index MMF

- Shortest path with the lowest speed and longest path with higher speed (lower  $n_{\text{core}}$ ).

Then

$$\delta\tau = \frac{n_2 L}{2c} \left( \frac{n_1}{n_2} - 1 \right)^2 \quad \text{and} \quad \delta T_s \approx \frac{L n_1 \Delta^2}{2c}$$

For  $n_2 = 1.45$  and  $\Delta = \frac{(n_1 - n_2)}{n_2} = 0.01$ ,  $\delta\tau = 0.25 \text{ ns/km}$

For SIMMF  
 $\delta\tau = 50 \text{ ns/km}$

- The RMS pulse broadening

$$\tau_{\text{modal-GI}} = \frac{L n_1 \Delta^2}{34.6C}$$

# Controlling Dispersion

For single mode fibre:

- Wavelength 1300:
  - Dispersion is very small
  - Loss is high compared to 1550 nm wavelength
- Wavelength 1550:
  - Dispersion is high compared with 1300 nm
  - Loss is low

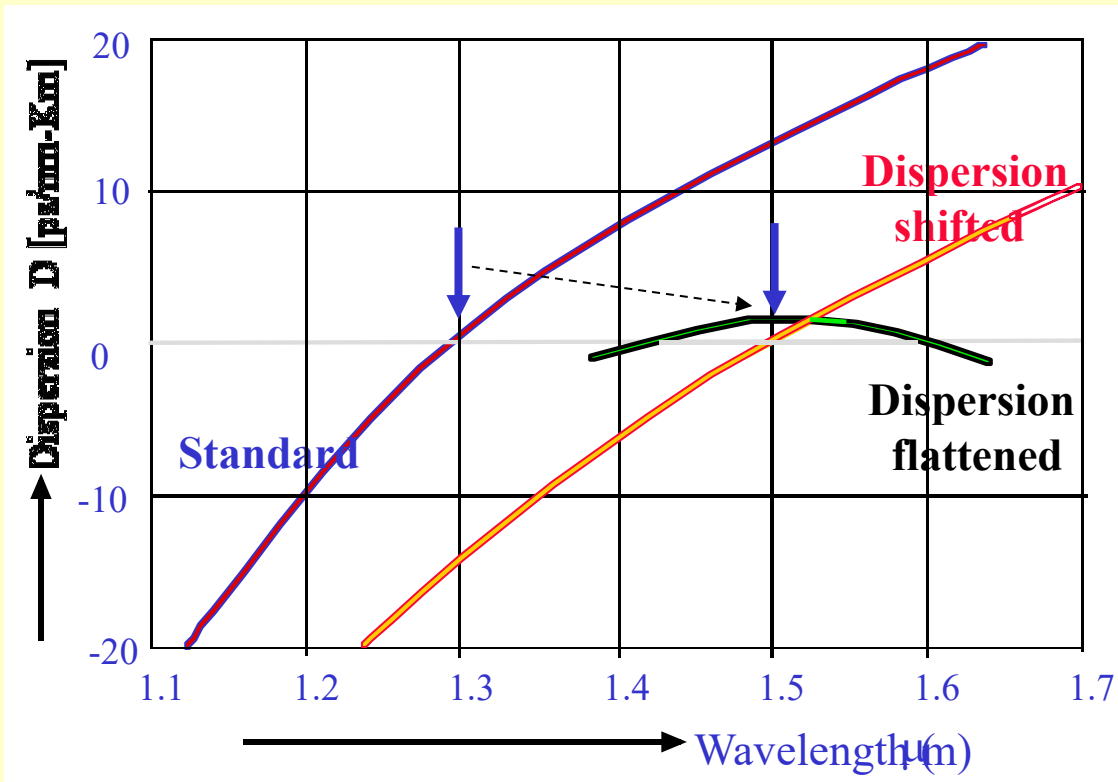
Limitation due to dispersion can be removed by moving zero-dispersion point from 1300 nm to 1550 nm. *How?*

By controlling the waveguide dispersion.

Fibre with this property are called Dispersion-Shifted Fibres

# Controlling Dispersion

## Dispersion-Shifted Fibre



### Basic idea

- Change the refractive index profile in cladding and core
- Thus introducing negative dispersion



# References

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- <http://www.gatewayforindia.com/technology/opticalfiber.htm>
- Senior: <http://www.members.tripod.com/optic1999/>

# Summary

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- Nature of light : Particle and wave
- Light is part of EM spectrum
- Phase and group velocities
- Reflection, refraction and total internal reflection etc.
- Types of fibre
- Attenuation and dispersion